



# MTConnect<sup>®</sup> Standard

## Part 1 - Overview and Protocol

Version 1.3.0

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# 1 Overview

2 MTConnect is a standard based on an open protocol for data integration. MTConnect<sup>®</sup> is not  
3 intended to replace the functionality of existing products, but it strives to enhance the data  
4 acquisition capabilities of devices and applications and move toward a plug-and-play  
5 environment to reduce the cost of integration.

6 MTConnect<sup>®</sup> is built upon the most prevalent standards in the manufacturing and software  
7 industry, maximizing the number of tools available for its implementation and providing the  
8 highest level of interoperability with other standards and tools in these industries.

9 To facilitate this level of interoperability, a number of objectives are being met. Foremost is the  
10 ability to transfer data via a standard protocol which includes:

- 11 • A device identity (i.e. model number, serial number, calibration data, etc.).
- 12 • The identity of all the independent components of the device.
- 13 • Possibly a device's design characteristics (i.e. axis length, maximum speeds, device thresh-  
14 olds, etc.).
- 15 • Most importantly, data captured in real or near-real-time (i.e. current speed, position data,  
16 temperature data, program block, etc.) by a device that can be utilized by other devices or  
17 applications (e.g. utilized by maintenance diagnostic systems, management production in-  
18 formation systems, CAM products, etc.).

19  
20 The types of data that may need to be addressed in MTConnect<sup>®</sup> could include:

- 21 • Physical and actual device design data
- 22 • Measurement or calibration data
- 23 • Near-real-time data from the device

24  
25 To accommodate the vast amount of different types of devices and information that may come  
26 into play, MTConnect<sup>®</sup> will provide a common high-level vocabulary and structure.

27 The first version of MTConnect<sup>®</sup> focused on a limited set of the characteristics mentioned above  
28 that were selected based on the fact that they could have an immediate effect on the efficiency of  
29 operations. Subsequent versions of the standard have and will continue to add additional  
30 functionality to more completely define the manufacturing environment.

## 31 1.1 MTConnect<sup>®</sup> Document Structure

32 The MTConnect<sup>®</sup> specification is subdivided using the following scheme:

- 33 Part 1: Overview and Protocol
- 34 Part 2: Components and Data Items
- 35 Part 3: Streams, Events, Samples, and Condition
- 36 Part 4: Assets

37  
38 These four documents are considered the bases of the MTConnect standard. Information  
39 applicable to basic machine and device types will be included in these documents. Additional  
40 parts to the standard will be added to provide information and extensions to the standard focused  
41 on specific devices, components, or technologies considered requiring separate emphasis. All

42 information specific to the topic of each additional part **MUST** be included within that document  
43 even when it is a subject matter of one of the base parts of the standard.

44  
45 Documents will be named (file name convention) as follows:

46 MTC\_Part\_<Number>\_<Description>.doc.

47 For example, the file name for Part 2 of the standard is MTC\_Part\_2\_Components.doc.

48 All documents will be developed in Microsoft<sup>®</sup> Word format and released in Adobe<sup>®</sup> PDF  
49 format.

## 50 **1.2 MTConnect Versions and Backward Compatibility**

51 MTConnect<sup>®</sup> uses a three digit version numbering system consisting of a *major.minor.revision*,  
52 for example, a version number 1.1.4 would be major=1, minor=2, and revision=4. The major  
53 revision changes indicate that major changes to the standard have been made and backward  
54 compatibility **MAY** not be possible. This means that the schema may have changed in ways that  
55 will require the applications to change the way the request and interpret the data so they **MUST**  
56 be fully version aware and using the same requests across major versions **MAY NOT** work. The  
57 standard will still try to maintain as much backward compatibility as possible to preserve the  
58 investment in existing software development.

59 A minor version will introduce new components and data items and minor structural changes,  
60 additions only. With a minor release applications will only require minor changes to accept the  
61 changes and will still be able to function with older agents. Protocol changes will be kept to a  
62 minimum so application can use the same request semantics across versions. A minor version  
63 change will only DEPRECATE existing content and mark it for remove in future major version  
64 changes. This allows previous implementations to use new components and still function  
65 correctly.

66 Both major and minor changes **MUST** require a ninety day review of the standard by the  
67 technical advisory group (TAG). This requirement is to ensure that the additional are free from  
68 any intellectual property or copyright violations.

69 Revision changes will be editorial corrections and will introduce no new functionality. These  
70 changes **MUST NOT** require any changes to the application and implementation of the  
71 supporting software. Revisions **MUST NOT** require any review period since there is no new  
72 structure or functionality introduced.

## 73 2 Purpose of This Document

74 The four base MTConnect<sup>®</sup> documents are intended to:

- 75
- 76 • define the MTConnect<sup>®</sup> standard;
  - 77 • specify the requirements for compliance with the MTConnect<sup>®</sup> standard;
  - 78 • provide engineers with sufficient information to implement *Agents* for their devices;
  - 79 • provide developers with the necessary guidelines to use the standard to develop applications.

80 Part 1 of the MTConnect Standard provides an overview of the MTConnect Architecture and  
81 Protocol; including communication, fault tolerance, connectivity, and error handling require-  
82 ments.

83 Part 2 of the MTConnect<sup>®</sup> standard focuses on the data model and description of the information  
84 that is available from the device. The descriptive data defines how a piece of equipment should  
85 be modeled, the structure of the component hierarchy, the names for each component (if  
86 restricted), and allowable data items for each of the components.

87 Part 3 of the MTConnect standard focuses on the data returned from a `current` or `sample`  
88 request (for more information on these requests, see Part 1). This section covers the data  
89 representing the state of the machine.

90 Part 4 of the MTConnect<sup>®</sup> standard provides a semantic model for entities that are used in the  
91 manufacturing process, but are not considered to be a device nor a component. These entities are  
92 defined as MTConnect<sup>®</sup> Assets. These assets may be removed from a device without detriment  
93 to the function of the device, and can be associated with other devices during their lifecycle. The  
94 data associated with these assets will be retrieved from multiple sources that are responsible for  
95 providing their knowledge of the asset. The first type of asset to be addressed is Tooling.

### 96 2.1 Terminology

97	<b>Adapter</b>	An optional software component that connects the Agent to the Device.
98 99	<b>Agent</b>	A process that implements the MTConnect <sup>®</sup> HTTP protocol, XML generation, and MTConnect protocol.
100 101	<b>Alarm</b>	An alarm indicates an event that requires attention and indicates a deviation from normal operation. Alarms are reported in MTConnect as <code>Condition</code> .
102 103	<b>Application</b>	A process or set of processes that access the MTConnect <sup>®</sup> <i>Agent</i> to perform some task.
104 105 106	<b>Attribute</b>	A part of an XML element that provides additional information about that XML element. For example, the name XML element of the <code>Device</code> is given as <code>&lt;Device name="mill-1"&gt;...&lt;/Device&gt;</code>
107 108	<b>CDATA</b>	The text in a simple content element. For example, <i>This is some text</i> , in <code>&lt;Message ...&gt;This is some text&lt;/Message&gt;</code> .



109	<b>Component</b>	A part of a device that can have sub-components and data items. A
110		component is a basic building block of a device.
111	<b>Controlled Vocabulary</b>	The value of an element or attribute is limited to a restricted set of
112		possibilities. Examples of controlled vocabularies are country codes: US, JP,
113		CA, FR, DE, etc...
114	<b>Current</b>	A snapshot request to the <i>Agent</i> to retrieve the current values of all the data
115		items specified in the path parameter. If no path parameter is given, then the
116		values for all components are provided.
117	<b>Data Item</b>	A data item provides the descriptive information regarding something that can
118		be collected by the <i>Agent</i> .
119	<b>Device</b>	A piece of equipment capable of performing an operation. A device may be
120		composed of a set of components that provide data to the application. The
121		device is a separate entity with at least one component or data item providing
122		information about the device.
123	<b>Discovery</b>	Discovery is a service that allows the application to locate <i>Agents</i> for devices
124		in the manufacturing environment. The discovery service is also referred to as
125		the <i>Name Service</i> .
126	<b>Event</b>	An event represents a change in state that occurs at a point in time. Note: An
127		event does not occur at predefined frequencies.
128	<b>HTTP</b>	Hyper-Text Transport Protocol. The protocol used by all web browsers and
129		web applications.
130	<b>Instance</b>	When used in software engineering, the word <i>instance</i> is used to define a
131		single physical example of that type. In object-oriented models, there is the
132		class that describes the thing and the instance that is an example of that thing.
133	<b>LDAP</b>	Lightweight Directory Access Protocol, better known as Active Directory in
134		Microsoft Windows. This protocol provides resource location and contact
135		information in a hierarchal structure.
136	<b>MIME</b>	Multipurpose Internet Mail Extensions. A format used for encoding multipart
137		mail and http content with separate sections separated by a fixed boundary.
138	<b>Probe</b>	A request to determine the configuration and reporting capabilities of the
139		device.
140	<b>REST</b>	REpresentational State Transfer. A software architecture where the client and
141		server move through a series of state transitions based solely on the request
142		from the client and the response from the server.
143	<b>Results</b>	A general term for the <i>Samples</i> , <i>Events</i> , and <i>Condition</i> contained in a
144		<i>ComponentStream</i> as a response from a <i>sample</i> or <i>current</i> request.

145	<b>Sample</b>	A sample is a data point from within a continuous series of data points. An
146		example of a Sample is the position of an axis.
147	<b>Socket</b>	When used concerning inter-process communication, it refers to a connection
148		between two end-points (usually processes). Socket communication most
149		often uses TCP/IP as the underlying protocol.
150	<b>Stream</b>	A collection of <code>Events</code> , <code>Samples</code> , and <code>Condition</code> organized by
151		devices and components.
152	<b>Service</b>	An application that provides necessary functionality.
153	<b>Tag</b>	Used to reference an instance of an XML element.
154	<b>TCP/IP</b>	TCP/IP is the most prevalent stream-based protocol for inter-process
155		communication. It is based on the IP stack (Internet Protocol) and provides
156		the flow-control and reliable transmission layer on top of the IP routing
157		infrastructure.
158	<b>URI</b>	Universal Resource Identifier. This is the official name for a web address as
159		seen in the address bar of a browser.
160	<b>UUID</b>	Universally unique identifier.
161	<b>XPath</b>	XPath is a language for addressing parts of an XML Document. See the
162		XPath specification for more information. <a href="http://www.w3.org/TR/xpath">http://www.w3.org/TR/xpath</a>
163	<b>XML</b>	Extensible Markup Language. <a href="http://www.w3.org/XML/">http://www.w3.org/XML/</a>
164	<b>XML Schema</b>	The definition of the XML structure and vocabularies used in the XML
165		Document.
166	<b>XML Document</b>	An instance of an XML Schema which has a single root XML element and
167		conforms to the XML specification and schema.
168	<b>XML Element</b>	An element is the central building block of any XML Document. For
169		example, in MTConnect <sup>®</sup> the Device XML element is specified as <code>&lt;Device</code>
170		<code>&gt; . . . &lt;/Device&gt;</code>
171	<b>XML NMTOKEN</b>	The data type for XML identifiers. It <b>MUST</b> start with a letter, an underscore
172		“_” or a colon “:” and then it <b>MUST</b> be followed by a letter, a number, or one
173		of the following “.”, “-”, “_”, “:”. An NMTOKEN cannot have any spaces or
174		special characters.

## 175 2.2 XML Terminology

176 In the document there will be references to XML constructs, including elements, attributes,  
 177 CDATA, and more. XML consists of a hierarchy of elements. The elements can contain sub-  
 178 elements, CDATA, or both. For this specification, however, an element never contains mixed  
 179 content or both sub-elements and CDATA. Attributes are additional information associated with  
 180 an *element*. The textual representation of an element is referred to as a *tag*. In the example:

181       1. <Foo name="bob">Ack!</Foo>

182 An XML element consists of a named opening and closing tag. In the above example,  
183 <Foo. . .> is referred to as the opening tag and </Foo> is referred to as the closing tag. The  
184 text Ack! in between the opening and closing tags is called the CDATA. CDATA can be restricted  
185 to certain formats, patterns, or words. In the document when it refers to an element having  
186 CDATA, it indicates that the element has no sub-elements and only contains data.

187 When one looks at an XML Document there are two parts. The first part is typically referred to  
188 as an XML declaration and is only a single line. It looks something like this:

189       2. <?xml version="1.0" encoding="UTF-8"?>

190 This line indicates the XML version being used and the character encoding. Though it is possible  
191 to leave this line off, it is usually considered good form to include this line in the beginning of  
192 the document.

193 Every XML Document contains one and only one root element. In the case of MTConnect, it is  
194 the MTConnectDevices, MTConnectStreams, MTConnectAssets, or  
195 MTConnectError element. When these root elements are used in the examples, you will  
196 sometimes notice that it is prefixed with mt as in mt:MTConnectDevices. The mt is what is  
197 referred to as a namespace alias and it refers to the urn  
198 urn:mtconnect.org:MTConnectDevices:1.2 in the case of an  
199 MTConnectDevices document. The urn is the important part and **MUST** be consistent  
200 between the schema and the XML document. The namespace alias will be included as an  
201 attribute of the XML element as in:

```
202       1. <MTConnectDevices
203       2.     xmlns:m="urn:mtconnect.org:MTConnectDevices:1.2"
204       3.     xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
205       4.     xmlns="urn:mtconnect.org:MTConnectDevices:1.2"
206       5.     xsi:schemaLocation="urn:mtconnect.org:MTConnectDevices:1.2
207             http://www.mtconnect.org/schemas/MTConnectDevices_1.2.xsd">
208
```

209 In the previous example, the alias m refers to the MTConnectDevices urn. This document  
210 also contains a default namespace on line 4 which is specified with an xmlns attribute without  
211 an alias. There is an additional namespace that is always included in all XML documents and  
212 usually assigned the alias xsi. This namespace is used to refer to all the standard XML data  
213 types prescribed by the W3C. An example of this is the xsi:schemaLocation attribute that  
214 tells the XML parser where the schema can be found.

215 In XML, to allow for multiple XML Schemas to be used within the same XML Document, a  
216 namespace will indicate which XML Schema is in effect for this section of the document. This  
217 convention allows for multiple XML Schemas to be used within the same XML Document, even  
218 if they have the same element names. The namespace is optional and is only required if multiple  
219 schemas are required.

220 An *attribute* is additional data that can be included in each element. For example, in the  
221 following MTConnect® DataItem there are several attributes describing the DataItem:

```

222     3. <DataItem name="Xpos" type="POSITION" subType="ACTUAL"
223     category="SAMPLE" />

```

224 The name, type, subType, and category are attributes of the element. Each attribute can  
 225 only occur once within an element declaration, and it can either be required or optional.

226 An element can have any number of sub-elements. The XML Schema specifies which sub-  
 227 elements and how many times a given sub-element can occur. Here's an example:

```

228     4. <TopLevel>
229     5.     <FirstLevel>
230     6.         <SecondLevel>
231     7.             <ThirdLevel name="first"></ThirdLevel>
232     8.             <ThirdLevel name="second"></ThirdLevel>
233     9.         </SecondLevel>
234    10.     </FirstLevel>
235    11. </TopLevel>

```

236 In the above example, the FirstLevel has an sub-element SecondLevel which in turn has  
 237 two sub-elements, ThirdLevel, with different names. Each level is an element and its children  
 238 are its sub-elements and so forth.

239 In XML we sometimes use elements to organize parts of the document. A few examples in  
 240 MTConnect<sup>®</sup> are Streams, DataItems, and Components. These elements have no  
 241 attributes or data of their own; they only provide structure to the document and allow for various  
 242 parts to be addressed easily.

```

243     1. ...
244     2. <Device id="d" name="Device">
245     3.     <DataItems>
246     4.         <DataItem .../>
247     5.         ...
248     6.     </DataItems>
249     7.     <Components>
250     8.         <Axes ... >...</Axes>
251     9.     </Components>
252    10. </Device>
253

```

254 In the previous example DataItems and Components are only used to contain certain types  
 255 of elements and provide structure to the documents. These elements will be referred to as  
 256 *Containers* in the standard.

257 An XML Document can be validated. The most basic check is to make sure it is well-formed,  
 258 meaning that each element has a closing tag, as in <foo> . . . </foo> and the document does  
 259 not contain any illegal characters (<>) when not specifying a tag. If the closing </foo> was left  
 260 off or an extra > was in the document, the document would not be well-formed and may be  
 261 rejected by the receiver. The document can also be validated against a schema to ensure it is

262 valid. This second level of analysis checks to make sure that required elements and attributes are  
 263 present and only occur the correct number of times. A valid document must be well-formed.

264 All MTCConnect<sup>®</sup> documents must be valid and conform to the XML Schema provided along  
 265 with this specification. The schema will be versioned along with this specification. The greatest  
 266 possible care will be taken to make sure that the schema is backward compatible.

267 For more information, visit the w3c website for the XML Standards documentation:  
 268 <http://www.w3.org/XML/>

## 269 2.3 Markup Conventions

270 MTCConnect<sup>®</sup> follows industry conventions on tag format and notations when developing the  
 271 XML schema. The general guidelines are as follows:

- 272 1. All tag names will be specified in Pascal case (first letter of each word is capitalized). For  
 273 example: <ComponentEvents />
- 274 2. Attribute names will also be camel case, similar to Pascal case, but the first letter will be  
 275 lower case. For example: <MyElement attributeName="bob"/>
- 276 3. All values that are part of a limited or controlled vocabulary will be in upper case with an  
 277 \_ (underscore) separating words. For example: ON, OFF, ACTUAL ,  
 278 COUNTER\_CLOCKWISE, etc...
- 279 4. Dates and times will follow the W3C ISO 8601 format with arbitrary decimal fractions of  
 280 a second allowed. Refer to the following specification for details:  
 281 <http://www.w3.org/TR/NOTE-datetime> The format will be YYYY-MM-  
 282 DDThh:mm:ss.ffff, for example 2007-09-13T13:01.213415. The accuracy and number of  
 283 decimal fractional digits of the timestamp is determined by the capabilities of the device  
 284 collecting the data. All times will be given in UTC (GMT).
- 285 5. XML element names will be spelled-out and abbreviations will be avoided. The one  
 286 exception is the word `identifier` that will be abbreviated `Id`. For example:  
 287 `SequenceNumber` will be used instead of `SeqNum`.

## 288 2.4 Document Conventions

289 The following documentation conventions will be used in the text:

- 290 • The word **MUST** is used to indicate provisions that are mandatory. Any deviation from those  
 291 provisions will not be permitted.
- 292 • The word **SHOULD** is used to indicate a provision that is recommended but the exclusion of  
 293 which will not invalidate the implementation.
- 294 • The word **MAY** will be used to indicate provisions that are optional and are up to the imple-  
 295 menter to decide if they are relevant to their device.
- 296 • The word **NOT** will be added to any of the previous words to emphasize the negation of this  
 297 provision.

298 In the tables where elements are described, the Occurrence column indicates if the attribute or  
 299 sub-elements are required by the specification.

300 For attributes:

- 301 1. If the Occurrence is 1, the attribute **MUST** be provided.  
 302 2. If the Occurrence is 0..1, the attribute **MAY** be provided, and at most one occurrence of  
 303 the attribute may be given.  
 304

305 For XML elements:

- 306 1. If the Occurrence is 1, the element **MUST** be provided.  
 307 2. If the Occurrence is 0..1, the XML element **MAY** be provided, and at most one occur-  
 308 rence of the XML element may be given.  
 309 3. If the Occurrence is 1..INF, one or more XML elements **MUST** be provided.  
 310 4. If the Occurrence is a number, e.g. 2, exactly that number of XML elements **MUST** be  
 311 provided.

## 312 2.5 Document Style Guidelines

313 The following conventions will be used throughout the document to provide a clear and  
 314 consistent understanding of the use of each type of data and information used to define the  
 315 MTConnect standard and associated data.

316 The following conventions will be used:

- 317 1. Standard Font for text must be Times New Roman, unless noted otherwise.  
 318 2. References to other *Documents* or *Sections* or *Sub-Sections* of this document must be  
 319 *italicized*.  
 320 3. Code samples will always be provided in fixed size Courier New font with line numbers  
 321 as in:  
 322 1. `<MTConnectStreams xmlns:m="urn:mtconnect.com:MTConnectStreams:1.1"`  
 323 2. `xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"`  
 324 3. `xmlns="urn:mtconnect.com:MTConnectStreams:1.1"`  
 325 4. ...  
 326  
 327 4. When MTConnect elements and functions are used where they represent a specific capa-  
 328 bility defined in the MTConnect standard, they will use fixed size Courier New font.  
 329 (Agent, probe, current, etc.) When these same items are generally referred to  
 330 within the text without specific reference to their function within MTConnect, they will  
 331 use standard font.  
 332 5. All attribute values that are restricted to a limited or controlled vocabulary will be in  
 333 upper case with an \_ (underscore) separating words. For example: ON, OFF, ACTUAL ,  
 334 COUNTER\_CLOCKWISE, etc... Font will be Courier New.  
 335 6. All attribute names will be in Courier New font. For example: nativeUnits.  
 336 7. When special emphasis is required on a word or words to differentiate them for other  
 337 words and to provide additional clarity to the meaning of the standard, these words may  
 338 be *italicized* or **bolded** (depending on the context of the surrounding text) to provide em-  
 339 phasis. Use of CAPS should be avoided for the purpose of providing emphasis.

## 340 **2.6 Units**

341 MTConnect<sup>®</sup> will adopt the units common to most standards specifications for exchanging data  
342 items. These units have been selected by the working group as giving the greatest interoperability  
343 and common acceptance.

344 Please see *Part 2, Components and Data Items* for a full description of allowable units and their  
345 associate data items.

## 346 **2.7 Referenced Standards and Specifications**

347 A large number of specifications are being used to normalize and harmonize the schema and the  
348 vocabulary (names of tags and attributes) specified in MTConnect<sup>®</sup> (*See Appendix A:*  
349 *Bibliography for complete references*).

## 350 **3 Architectural Overview**

351 MTConnect<sup>®</sup> is built upon the most prevalent standards in the industry. This maximizes the  
 352 number of tools available for implementation and provides the highest level of interoperability  
 353 with other standards and protocols.

354 MTConnect<sup>®</sup> **MUST** use the HTTP protocol as the underlying transport for all messaging. The  
 355 data **MUST** be sent back in valid XML, according to this standard. Each MTConnect<sup>®</sup> *Agent*  
 356 **MUST** represent at least one device. The *Agent* **MAY** represent more than one device if desired.

357 MTConnect<sup>®</sup> is composed of a few basic conceptual parts. They are as follows:

358 **Header** Protocol related information. (*See Header in Part 1 Section 4*)

359 **Components** The building blocks of the device. (*See Components in Part 2 Section 3*)

360 **DataItems** The description of the data available from the device. (*See DataItems in Part 2*  
 361 *Section 4* )

362 **Streams** A set of Samples, Events, or Condition for components and devices. (*See Streams*  
 363 *in Part 3*)

364 **Assets** An Asset is something that is associated with the manufacturing process that is  
 365 not a component of a device, can be removed without detriment to the function of  
 366 the device, and can be associated with other devices during their lifecycle.

367 **Samples** A point-in-time measurement of a data item that is continuously changing. (*See*  
 368 *Samples in Part 3*)

369 **Events** Discrete changes in state that can have no intermediate value. They indicate the  
 370 state of a specific attribute of a component. (*See Events in Part 3*)

371 **Condition** A piece of information the device provides as an indicator of its health and ability  
 372 to function. A condition can be one of Normal, Warning, Fault, or  
 373 Unavailable. A single condition type can have multiple Faults or Warnings at  
 374 any given time. This behavior is different from Events and Samples where a data  
 375 item **MUST** only have a single value at a given time. (*See Condition in Part 3*).

376

### 377 **3.1 Request Structure**

378 An MTConnect<sup>®</sup> request **SHOULD NOT** include any body in the HTTP request. If the *Agent*  
 379 receives any additional data, the *Agent* **MAY** ignore it. There will be no cookies or additional  
 380 information considered; the only information the *Agent* **MUST** consider is the URI in the HTTP  
 381 GET (Type a URI into the browser's address bar, hit return, and a GET is sent to the server. In  
 382 fact, with MTConnect<sup>®</sup> one can do just that. To test the *Agent*, one can type the *Agent*'s URI into  
 383 the browser's address bar and view the results.)

### 384 **3.2 Process Workflow**

#### 385 **3.2.1 Application Communication**

386 The preceding diagram shows how all major components of an MTConnect<sup>®</sup> architecture inter-  
 387 relate and how the four basic operations are used to locate and communicate with the *Agent*  
 388 regarding the device.



- 389 **Step 1** The device is continually sending information to the Agent. The Agent is  
 390 collecting the information and saving it based on its ability to store  
 391 information. The data flow from the device to the agent is implementation  
 392 dependant. The data flow can begin once a request has been issued from a  
 393 client application at the discretion of the agent.
- 394 **Step 2** The Application has the URI to contact the Agent for the mill device. The first  
 395 step is a request for the device's descriptive information using the `probe`  
 396 request. The `probe` will return the component composition of the device as  
 397 well as all the data items available.
- 398 **Step 3** The Application requests the `current` state for the device. The results will  
 399 contain the device stream and all the component streams for this device. Each  
 400 of the data items will report their values as Samples, Events or Condition. The  
 401 application will receive the `nextSequence` number from the *Agent* to use in  
 402 the subsequent sample request.
- 403 **Step 4** The Application uses the `nextSequence` number to `sample` the data from  
 404 the Agent starting at sequence number 208. The results will be Events,  
 405 Condition, and Samples; and since the count is not specified, it defaults to 100  
 406 Events, Samples, and Conditions.

407 This will be discussed in more detail in the *Protocol* section of the document. The remainder of  
 408 this document will assume the *Name Service* discovery has already been completed.

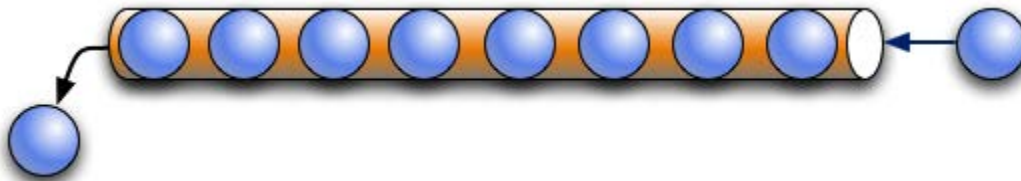
### 409 3.3 MTConnect Agent Data Storage

410 The MTConnect *Agent* stores a fixed amount of data. This makes the process remain at a fixed  
 411 maximum size since it is only required to store a finite number of events, samples and  
 412 conditions. The data storage for MTConnect can be thought of as a tube where data is pushed in  
 413 one end.



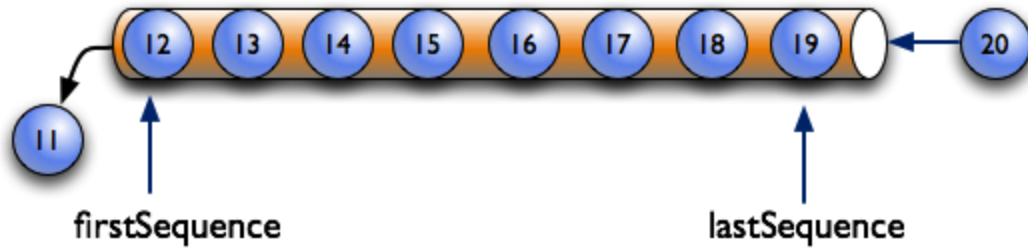
414

415 When the tube fills up, the oldest piece of data falls out the other end. In this example, the  
 416 capacity, or `bufferSize`, of the MTConnect *Agent* in this example is 8.



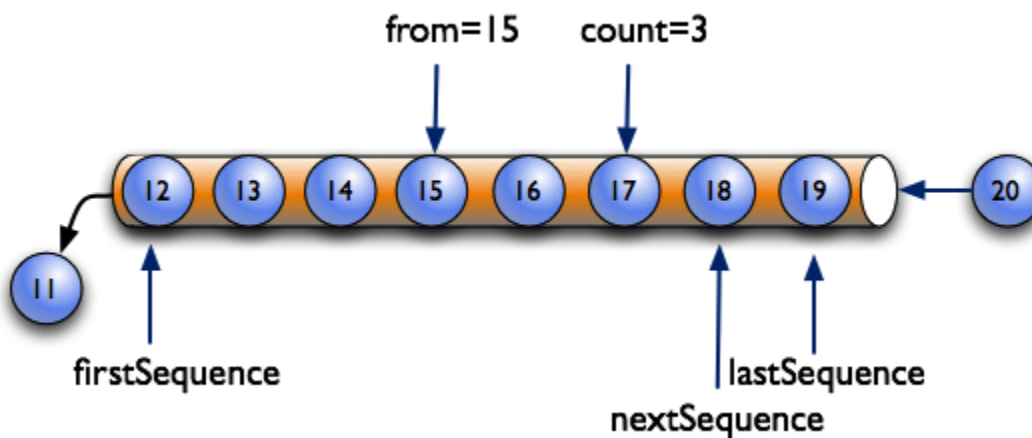
417

418 As each piece of data is inserted into the tube it is assigned a sequentially increasing number.



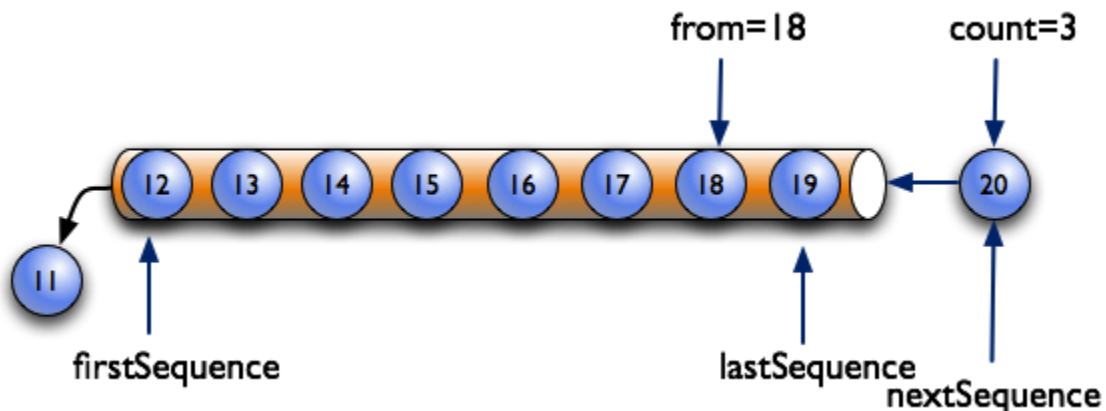
419

420 As a client requests data from the MTConnect Agent it can specify the sequence number from  
 421 which it will start returning data and the number of items to inspect. In the example below, the  
 422 request starts at 15 (`from`) and requests three items (`count`). This will set the next sequence  
 423 number (`nextSequence`) to 18 and the last sequence number will always be the last number in  
 424 the tube. In this example it (`lastSequence`) is 19.



425

426 If the request goes off the end of the tube, the next sequence is set to the `lastSequence + 1`.  
 427 As long as no more data is added to the *Agent* and the request exceeds the length of the data  
 428 available, the `nextSequence` will remain the same, in this case 20.



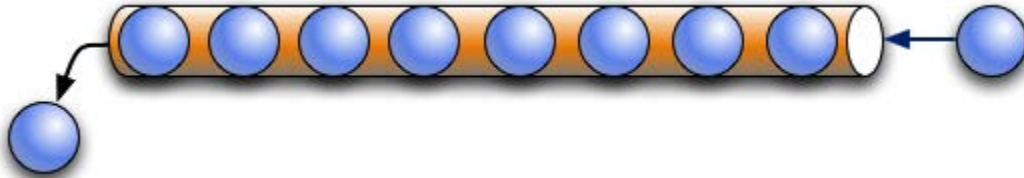
429

430 The `current` request **MUST** provide the last value for each data item even if it is no longer in  
 431 the buffer. Even if the event, sample, or condition has been removed from the buffer, the *Agent*  
 432 **MUST** retain a copy associated with the last value for any subsequent `current` request.

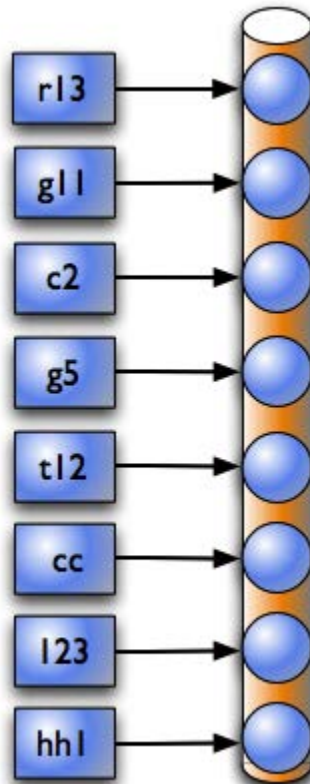
433 Therefore if the item 11 above was the last value for the X Position, the `current` will still  
 434 provide the value of 11 when requested.

### 435 3.4 MTConnect Agent Asset Storage

436 MTConnect stores assets in a similar fashion. The *Agent* provides a limited number of assets that  
 437 can be stored at one time and uses the same method of pushing out the oldest asset when the  
 438 buffer is full. The buffer size for the asset storage is maintained separately from the sample,  
 439 event, and condition storage.



440  
 441 Assets also behave like a key/value in memory database. In the case of the asset the key is the  
 442 `assetId` and the value is the XML describing the asset. The key can be any string of letters,  
 443 punctuation or digits and represent the domain specific coding scheme for their assets. Each asset  
 444 type will have a recommended way to construct a unique `assetId`, for example, a Cutting Tool  
 445 **SHOULD** be identified by the tool ID and serial number as a composed synthetic identifier.



446  
 447 As in this example, each of the assets is referred to by their key. The key is independent of the  
 448 order in the storage buffer.

449 Asset protocol:

- 450 • Request an asset by id:
  - 451 ○ url: `http://example.com/asset/hh1`
  - 452 ○ Returns the `MTConnectAssets` document for asset `hh1`
- 453 • Request multiple assets by id:
  - 454 ○ url: `http://example.com/asset/hh1;cc;123;g5`
  - 455 ○ Returns the `MTConnectAssets` document for asset `hh1`, `cc`, `123`, and `g5`.
- 456 • Request for all the assets in the *Agent*:
  - 457 ○ url: `http://example.com/assets`
  - 458 ○ Returns all available `MTConnect` assets in the *Agent*. `MTConnect` **MAY** return a
  - 459 limited set if there are too many asset records. The assets **MUST** be added to the
  - 460 beginning with the most recently modified assets.
- 461 • Request for all assets of a given type in the *Agent*:
  - 462 ○ url: `http://example.com/assets?type="CuttingTool"`
  - 463 ○ Returns all available `CuttingTool` assets from the *MTConnect Agent*.
  - 464 `MTConnect` **MAY** return a limited set if there are too many asset records. The
  - 465 assets **MUST** be added to the beginning with the most recently modified assets.
- 466 • Request for all assets of a given type in the *Agent* up to a maximum count:
  - 467 ○ url:
  - 468 `http://example.com/assets?type=CuttingTool&count=1000`
  - 469 ○ Returns all available `CuttingTool` assets from the *MTConnect Agent*.
  - 470 `MTConnect` **MUST** return up to 1000 assets beginning with the most recently
  - 471 modified assets if they exist.
- 472 • Request for all assets including assets that have been removed:
  - 473 ○ url:
  - 474 <http://example.com/assets?type=CuttingTool&removed=true>
  - 475 [e](http://example.com/assets?type=CuttingTool&removed=true)
  - 476 ○ Returns all available `CuttingTool` assets from the *MTConnect Agent*. With
  - 477 the removed flag, assets that have been removed but are included in the result set.

478 When an asset is added or modified, an `AssetChanged` event **MUST** be sent to inform us that  
 479 new asset data is available. The application can request the new asset data from the device at that  
 480 time. Every time the asset data is modified the `AssetChanged` event will be sent. Since the  
 481 asset data is transactional, meaning multiple values change at the same time, the system will send

482 out a single `AssetChanged` event for the entire set of changes, not for the individual changed  
483 fields.

484 The asset data **MUST** remain constant until the `AssetChanged` event is sent. Once it is sent  
485 the data **MUST** change to reflect the new content at that instant. The timestamp of the asset will  
486 reflect the time the last change was made to the asset data.

487 When an asset has been removed from the agent, or marked as removed, an `AssetRemoved`  
488 event **MUST** be generated in a similar way to the `AssetChanged` event. The CDATA of the  
489 `AssetRemoved` event **MUST** reference the asset that was just removed.

490 This topic is covered in more detail in *Part 4 Assets*.

491 Every time an asset is modified or added it will be moved to the end of the buffer and become  
492 the newest asset. As the buffer fills up, the oldest asset will be pushed out and its information  
493 will be removed. MTConnect does not specify the maximum size of the buffer, and if the  
494 implementation desires, permanent storage **MAY** be used to store the assets. A value of  
495 4,294,967,296 or  $2^{32}$  can be given to indicate unlimited storage.

496 There is no requirement for persistent asset storage. If the *Agent* fails, all existing assets **MAY** be  
497 lost. It is the responsibility of the implementation to restore the lost asset data and it is the  
498 responsibility of the application to persist the asset data. The MTConnect agent **MAY** make no  
499 guarantees about availability of asset data after the *Agent* stops.

## 500 4 Reply XML Document Structure

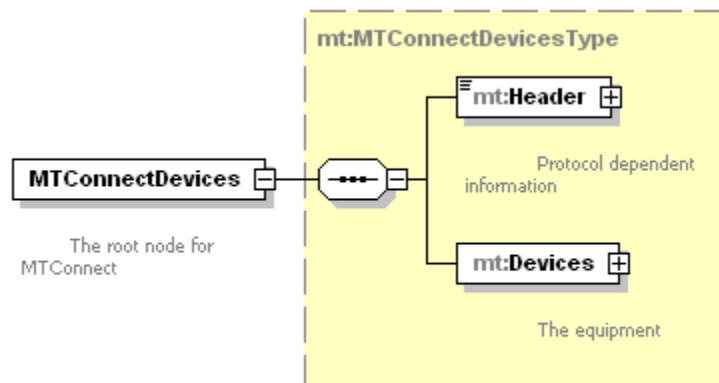
501 At the top level of all MTConnect<sup>®</sup> XML Documents there **MUST** be one of the following XML  
 502 elements: MTConnectDevices, MTConnectStreams, or MTConnectError. This  
 503 element will be the root for all MTConnect<sup>®</sup> responses and contains all sub-elements for the  
 504 protocol.

505 All MTConnect<sup>®</sup> XML Documents are broken down into two parts. The first XML element is the  
 506 Header that provides protocol related information like next sequence number and creation date  
 507 and the second section provides the content for Devices, Streams, or Errors.

508 The top level XML elements **MUST** contain references to the XML schema URN and the  
 509 schema location. These are the standard XML schema attributes:

```
510 1. <MTConnectStreams xmlns:m="urn:mtconnect.com:MTConnectStreams:1.1"
511 2.   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
512 3.   xmlns="urn:mtconnect.com:MTConnectStreams:1.1"
513 4.   xsi:schemaLocation="urn:mtconnect.com:MTConnectStreams:1.1
514   http://www.mtconnect.org/schemas/MTConnectStreams.xsd"> ...
```

### 515 4.1 MTConnectDevices



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**Figure 1: MTConnectDevices structure**

518 MTConnectDevices provides the descriptive information about each device served by this  
 519 *Agent* and specifies the data items that are available. In an MTConnectDevices XML  
 520 Document, there **MUST** be a Header and it **MUST** be followed by Devices section. An  
 521 MTConnectDevices XML Document **MUST** have the following structure (the details have  
 522 been eliminated for illustrative purposes):

```
523 5. <MTConnectDevices xmlns:m="urn:mtconnect.com:MTConnectDevices:1.1"
524 6.   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
525 7.   xmlns="urn:mtconnect.com:MTConnectDevices:1.1"
526 8.   xsi:schemaLocation="urn:mtconnect.com:MTConnectDevices:1.1
527   http://www.mtconnect.org/schemas/MTConnectDevices_1.1.xsd">
528 9.   <Header .../>
```

529 10. <Devices> ... </Devices>

530 11. </MTConnectDevices>

531

#### 532 4.1.1 MTConnectDevices Elements

533 An MTConnectDevices element **MUST** include the Header for all documents and the

534 Devices element.

Element	Description	Occurrence
Header	A simple header with next sequence and creation time	1
Devices	The root of the descriptive data	1

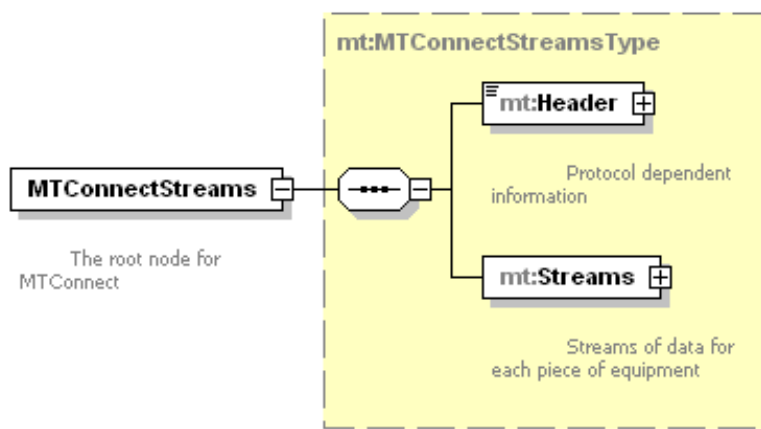
535

536

537 For the above elements of the XML Document, please refer to *Part 1 Section 4.5 Header* and

538 *Part 2 Section 3 Devices and Components*.

#### 539 4.2 MTConnectStreams



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541

**Figure 2: MTConnectStreams structure**

542 MTConnectStreams contains a timeseries of Samples, Events, and Condition from devices

543 and their components. In an MTConnectStreams XML Document, there **MUST** be a

544 Header and it **MUST** be followed by a Streams section. An MTConnectStreams XML

545 Document will have the following structure (the details have been eliminated for illustrative

546 purposes):

547 12. <MTConnectStreams xmlns:m="urn:mtconnect.com:MTConnectStreams:1.1"

548 13. xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"

549 14. xmlns="urn:mtconnect.com:MTConnectStreams:1.1"

550 15. xsi:schemaLocation="urn:mtconnect.com:MTConnectStreams:1.1

551 http://www.mtconnect.org/schemas/MTConnectStreams.xsd">

552 16. <Header ... />

553 17. <Streams> ... </Streams>  
 554 18. </MTConnectStreams>

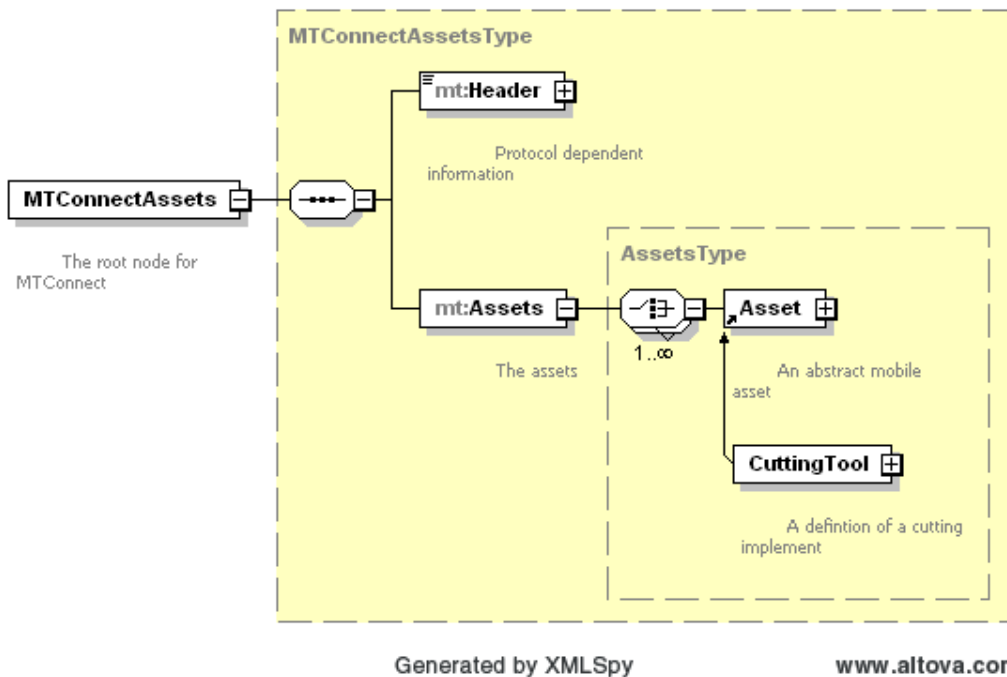
556 **4.2.1 MTConnectStreams Elements**

557 An MTConnectStreams document **MUST** include a Header and a Streams element.

Element	Description	Occurrence
Header	A simple header with next sequence and creation time	1
Streams	The root of the sample and event data	1

558  
 559  
 560 For the above elements of the XML Document, please refer to *Part 1 Section 4.5 Header* and  
 561 *Part 3 Section 3.1 Streams Response Header* and *Part 3 Section 3.2 Streams Structure*.

562 **4.3 MTConnectAssets**



563 **Figure 3: MTConnectAssets structure**

564  
 565 An MTConnect asset document contains information pertaining to a machine tool asset,  
 566 something that is not a direct component of the machine and can be relocated to another device  
 567 during its lifecycle. The Asset will contain transactional data that will be changed as a unit,  
 568 meaning that at any point in time the latest version of the complete state for this asset will be  
 569 given by this device.

570 Each device may have a different set of information about this asset and it is the responsibility of  
 571 the application to collect and determine the best data and keep histories if necessary. MTConnect



572 will allow any application or other device request this information. MTConnect makes no  
 573 guarantees that this will be the best information across the entire set of devices, only that from  
 574 this devices perspective, it is the latest and most accurate information it has supplied.

575 MTConnect allows any application to request information about an asset by its `assetId`. This  
 576 identifier **MUST** be unique for all assets. The uniqueness is defined within the domain used by  
 577 the implementation, meaning, if MTConnect will be used within a shop, the `assetId` **MUST**  
 578 be unique within that shop. And conversely, if MTConnect will be used throughout an enterprise,  
 579 it is advisable to make the `assetId` unique throughout the enterprise.

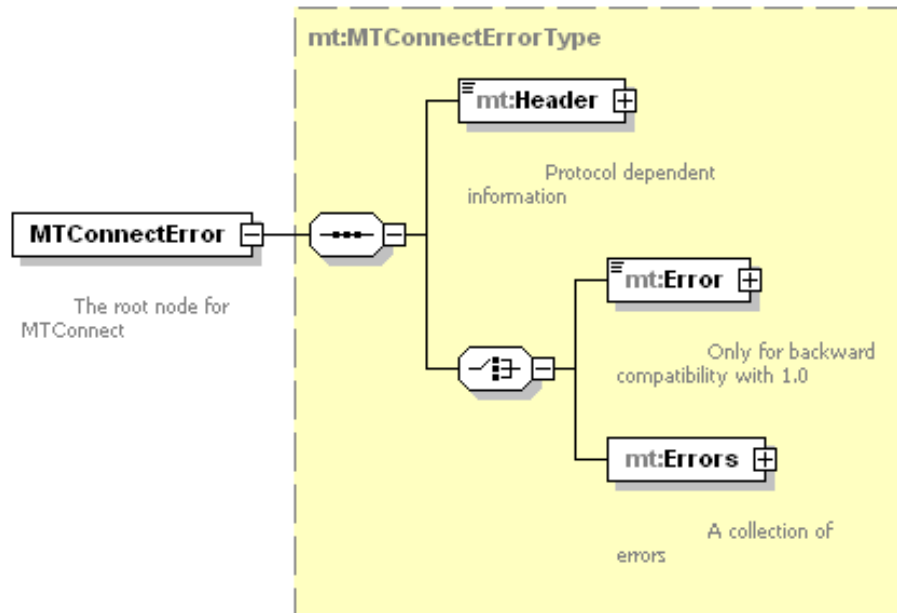
```

580 1. <?xml version="1.0" encoding="UTF-8"?>
581 2. <MTConnectAssets
582 xsi:schemaLocation="urn:mtconnect.org:MTConnectAssets:1.2
583 ../MTConnectAssets_1.2.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
584 instance" xmlns="urn:mtconnect.org:MTConnectAssets:1.2"
585 xmlns:mt="urn:mtconnect.org:MTConnectAssets:1.2">
586 3.     <Header creationTime="2001-12-17T09:30:47Z" sender="localhost"
587 version="1.2" bufferSize="131000" instanceId="1" />
588 4.     <Assets>
589 5.         <CuttingTool serialNumber="1234" timestamp="2001-12-
590 17T09:30:47Z" assetId="1234-112233">
591 6.             <Description>Cutting Tool</Description>
592 7.             <ToolDefinition>...</ToolDefinition>
593 8.             <ToolLifeCycle deviceUuid="1222" toolId="1234">...
594 9.             </ToolLifeCycle>
595 10.          </CuttingTool>
596 11.        </Assets>
597 12. </MTConnectAssets>

```

598 The document is broken down into two sections, the tool definition (line 7) and the tool life cycle  
 599 (lines 8-9). For more information on this structure, see *Part 4: Assets*.

## 600 4.4 MTConnectError



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601

602

**Figure 4: MTConnectError structure**

603 An MTConnectError document contains information about an error that occurred in  
 604 processing the request. In an MTConnectError XML Document, there **MUST** be a Header  
 605 and it must be followed by an Errors container that can contain a series of Error elements:

```

606 1. <?xml version="1.0" encoding="UTF-8"?>
607 2. <MTConnectError xmlns="urn:mtconnect.org:MTConnectError:1.1"
608   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
609   xsi:schemaLocation="urn:mtconnect.org:MTConnectError:1.1
610   http://www.mtconnect.org/schemas/MTConnectError_1.1.xsd">
611 3.   <Header creationTime="2010-03-12T12:33:01" sender="localhost"
612   version="1.1" bufferSize="131072" instanceId="1268463594" />
613 4.   <Errors>
614 5.     <Error errorCode="OUT_OF_RANGE" >Argument was out of range</Error>
615 6.     <Error errorCode="INVALID_XPATH" >Bad path</Error>
616 7.   </Errors>
617 8. </MTConnectError>
  
```

618

619 For purposes of backward compatibility, a single error can have a single Error element.

```

620 1. <?xml version="1.0" encoding="UTF-8"?>
621 2. <MTConnectError xmlns="urn:mtconnect.org:MTConnectError:1.1"
622   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
623   xsi:schemaLocation="urn:mtconnect.org:MTConnectError:1.1
624   http://www.mtconnect.org/schemas/MTConnectError_1.1.xsd">
625 3.   <Header creationTime="2010-03-12T12:33:01" sender="localhost"
626   version="1.1" bufferSize="131072" instanceId="1268463594" />
627 4.   <Error errorCode="OUT_OF_RANGE" >Argument was out of range</Error>
628 5. </MTConnectError>
  
```

629 4.4.1 **MTConnectError Elements**

630 An MTConnect<sup>®</sup> document **MUST** include the Header for all documents and one Error  
 631 element.

Element	Description	Occurrence
Header	A simple header with next sequence and creation time	1
Errors	A collection of Error elements.	1

632

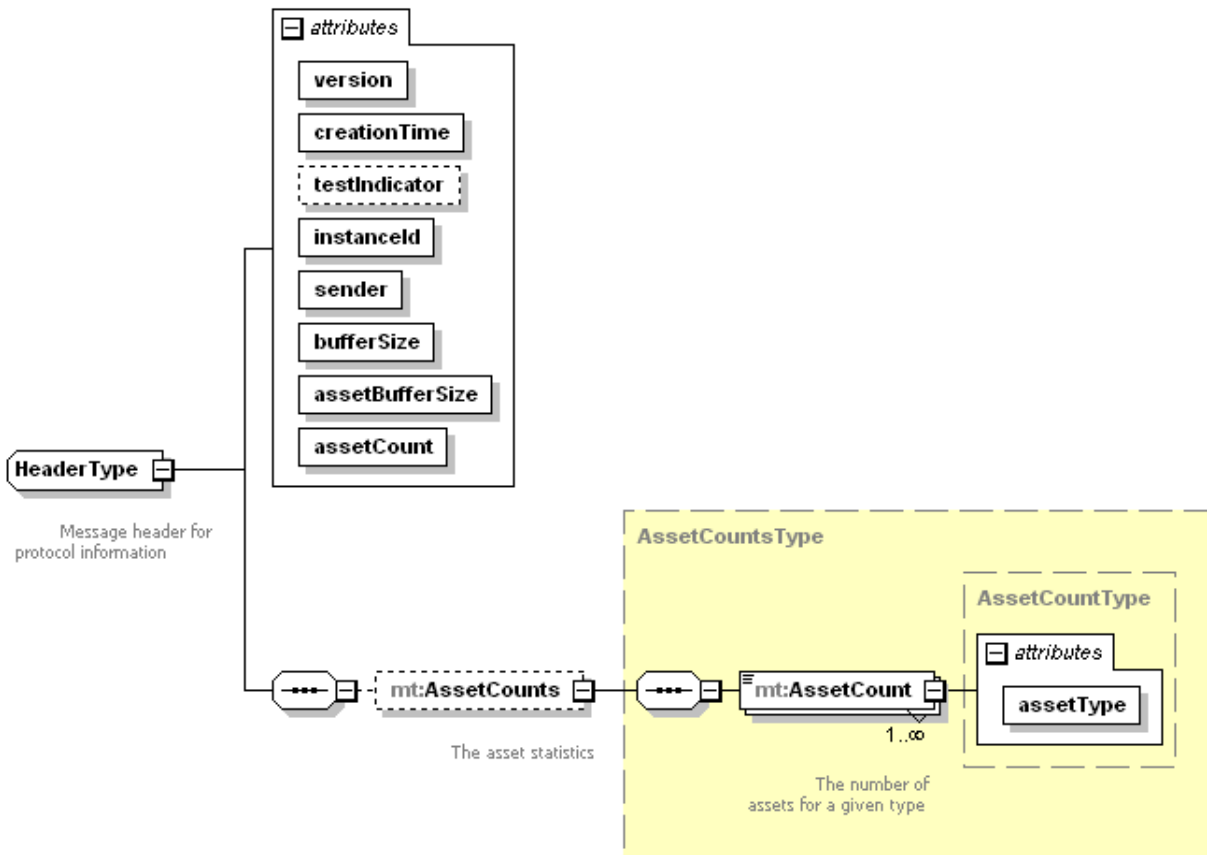
633

634 For the above elements of the XML Document, please refer to section 4.5 for Header and  
 635 section 5.6 for Error.

636 **4.5 Header**

637 Every MTConnect<sup>®</sup> response **MUST** contain a header as the first element below the root element  
 638 of any MTConnect<sup>®</sup> XML Document sent back to an application. The following information  
 639 **MUST** be provided in the header: `creationTime`, `instanceId`, `sender`, `bufferSize`,  
 640 and `version`. If the document is an MTConnectStreams document it **MUST** also contain  
 641 the `nextSequence`, `firstSequence`, and `lastSequence` attributes as well.

642 **4.6 MTConnectDevices Header**



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643

644 **4.6.1 Header attributes:**

645 See below for full description of common attributes

646 **4.6.2 Header Elements**

Element	Description	Occurrence
AssetCount	Contains the number of each asset type currently in the agent. This allows applications to determine the present of assets of a certain type. The CDATA of this <b>MUST</b> be an integer value representing the count. It <b>MUST</b> be less than or equal to the maximum number of assets ( <code>assetBufferSize</code> ).	0..1

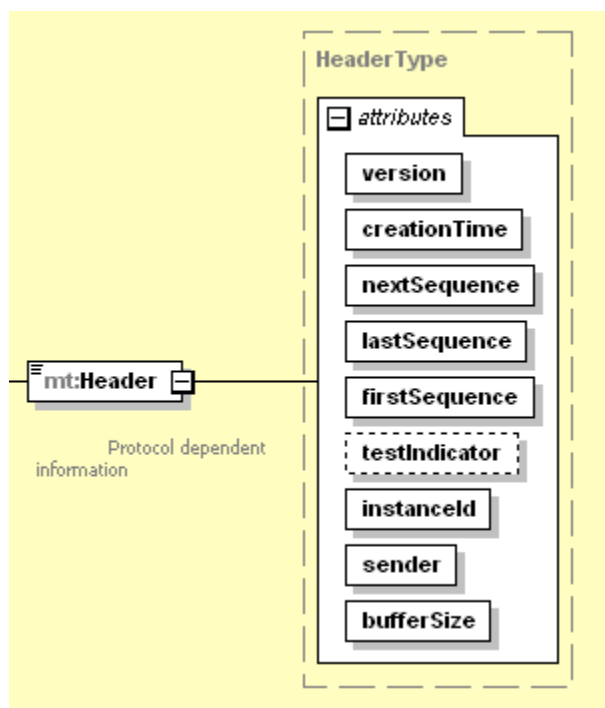
647 **4.6.3 AssetCount attributes:**

Attribute	Description	Occurrence
assetType	The type of assets for the count.	1

648

## 649 4.7 MTConnectStreams Header

650 The second header is for MTConnectStreams where the protocol sequence information  
651 **MUST** be provided:



652

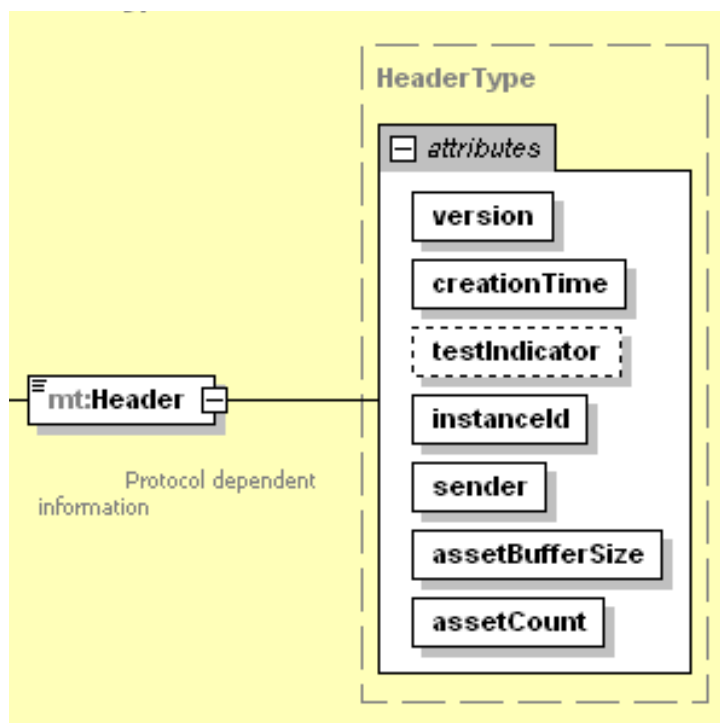
653 **Figure 5: Header Schema Diagram for MTConnectStreams**

654

```
655 <Header creationTime="2010-03-13T07:59:11+00:00" sender="localhost"
656       instanceId="1268463594" bufferSize="131072" version="1.1"
657       nextSequence="154" firstSequence="1" lastSequence="153" />
```

## 658 4.8 MTConnectAssets Header

659 The second header is for MTConnectAssets where the protocol sequence information **MUST**  
660 be provided:



661

662

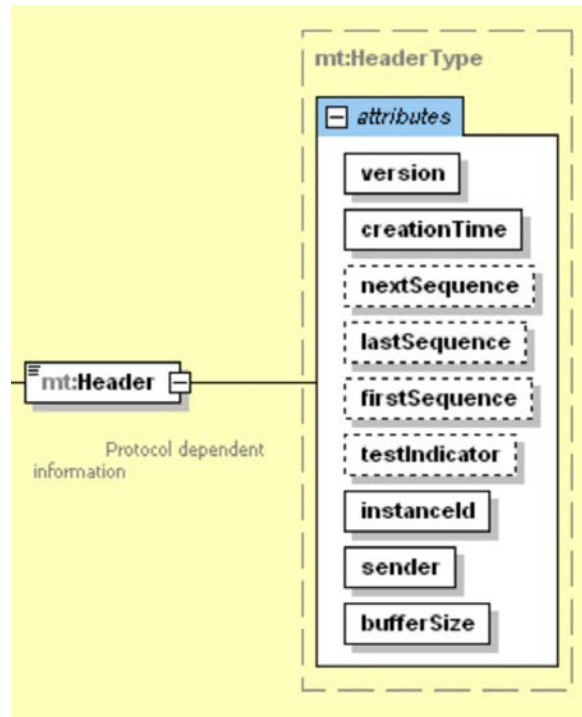
**Figure 6: Header Schema Diagram for MTConnectAssets**

663

```
664 <Header creationTime="2010-03-13T07:59:11+00:00" sender="localhost"
665       instanceId="1268463594" assetBufferSize="1024" version="1.1"
666       assetCount="12" />
```

## 667 4.9 MTConnectError Header

668 The MTConnectError header is as follows:



669

670

**Figure 7: Header Schema Diagram for MTConnectError**

671 **4.10 All Header Attributes**

Attribute	Description	Occurrence
creationTime	The time the response was created.	1
nextSequence	The sequence number to use for the next request. Used for sample and current requests. Not used in probe request. This value <b>MUST</b> have a maximum value of $2^{64}-1$ and <b>MUST</b> be stored in a signed 64 bit integer.	0..1
instanceId	A number indicating which invocation of the <i>Agent</i> . This is used to differentiate between separate instances of the <i>Agent</i> . This value <b>MUST</b> have a maximum value of $2^{64}-1$ and <b>MUST</b> be stored in a unsigned 64 bit integer.	1
testIndicator	Optional flag that indicates the system is operating in test mode. This data is only for testing and indicates that the data is simulated.	0..1
sender	The <i>Agent</i> identification information.	1
bufferSize	The number of Samples, Events, and Condition that will be retained by the <i>Agent</i> . The buffersize <b>MUST</b> be an unsigned positive integer value with a maximum value of $2^{32}-1$ .	1

Attribute	Description	Occurrence
firstSequence	The sequence number of the first sample or event available. This value <b>MUST</b> have a maximum value of $2^{64}-1$ and <b>MUST</b> be stored in an unsigned 64 bit integer.	0..1
lastSequence	The sequence number of the last sample or event available. This value <b>MUST</b> have a maximum value of $2^{64}-1$ and <b>MUST</b> be stored in an unsigned 64 bit integer.	0..1
version	The protocol version number. This is the major and minor version number of the MTConnect standard being used. For example if the version number is current 10.21.33, the version will be 10.21.	1
assetBufferSize	The maximum number of assets this agent can store. <b>MUST</b> be an unsigned positive integer value with a maximum value of $2^{32}-1$ .	1
assetCount	The total number of assets in the agent. <b>MUST</b> be an unsigned positive integer value with a maximum value of $2^{32}-1$ . This value <b>MUST</b> not be greater than <code>assetBufferSize</code>	1

672  
673 The nextSequence, firstSequence, and lastSequence number **MUST** be included  
674 in sample and current responses. These values **MAY** be used by the client application to  
675 determine if the sequence values are within range. The testIndicator **MAY** be provided as  
676 needed.

677 Details on the meaning of various fields and how they relate to the protocol are described in  
678 detail in the next section on Section 5 - *Protocol*. The standard specifies how the protocol **MUST**  
679 be implemented to provide consistent MTConnect<sup>®</sup> Agent behavior.

680 The instanceId **MAY** be implemented using any unique information that will be guaranteed  
681 to be different each time the sequence number counter is reset. This will usually happen when the  
682 MTConnect<sup>®</sup> Agent is restarted. If the Agent is implemented with the ability to recover the event  
683 stream and the next sequence number when it is restarted, then it **MUST** use the same  
684 instanceId when it restarts.

685 The instanceId allows the MTConnect<sup>®</sup> Agents to forgo persistence of Events, Condition,  
686 and Samples and restart clean each time. Persistence is a decision for each implementation to be  
687 determined. This will be discussed further in the section on Section 5.11 - *Fault Tolerance and*  
688 *Recovery*.

689 The sender **MUST** be included in the header to indicate the identity of the Agent sending the  
690 response. The sender **MUST** be in the following format: `http://<address>[:port]/`.  
691 The port **MUST** only be specified if it is **NOT** the default HTTP port 80.



692 The `bufferSize` **MUST** contain the maximum number of results that can be stored in the  
693 *Agent* at any one instant. This number can be used by the application to determine how  
694 frequently it needs to sample and if it can recover in case of failure. It is the decision of the  
695 implementer to determine how large the buffer should be.

696 As a general rule, the buffer **SHOULD** be sufficiently large to contain at least five minutes'  
697 worth of Events, Condition, and Samples. Larger buffers are more desirable since they allow  
698 longer application recovery cycles. If the buffer is too small, data can be lost. The *Agent*  
699 **SHOULD NOT** be designed so it becomes burdensome to the device and could cause any  
700 interruption to normal operation.

## 701 5 Protocol

702 The MTConnect<sup>®</sup> *Agent* collects and distributes data from the components of a device to other  
 703 devices and applications. The standard requires that the protocol **MUST** function as described in  
 704 this section; the tools used to implement the protocol are the decision of the developer.

705 MTConnect<sup>®</sup> provides a RESTful interface. The term REST is short for **RE**presentational **St**ate  
 706 **T**ransfer and provides an architectural framework that defines how state will be managed within  
 707 the application and *Agent*. REST dictates that the server is unaware of the clients state and it is  
 708 the responsibility of the client application to maintain the current read position or next operation.  
 709 This removes the server's burden of keeping track of client sessions. The underlying protocol is  
 710 HTTP, the same protocol as used in all web browsers.

711 The MTConnect<sup>®</sup> *Agent* **MUST** support HTTP version 1.0 or greater. The only requirement for  
 712 an MTConnect<sup>®</sup> *Agent* is that it **MUST** support the HTTP GET verb. The response to an  
 713 MTConnect<sup>®</sup> request **MUST** always be in XML. The HTTP request **SHOULD NOT** include a  
 714 body. If the *Agent* receives a body, the *Agent* **MAY** ignore it. The *Agent* **MAY** ignore any cookies  
 715 or additional information. The only information the *Agent* **MUST** consider is the URI in the  
 716 HTTP GET.

717 If the HTTP GET verb is not used, the *Agent* must respond with a HTTP 400 Bad Request  
 718 indicating that the client issued a bad request. See *Section 5.7 HTTP Response Code and Error*  
 719 for further discussion on error handling.

720 The reference implementation of MTConnect is based on the use of XML and HTTP. MTConnect  
 721 **MAY** also be implemented in conjunction with other technologies and standards. In its reference  
 722 implementation, MTConnect **MUST** follow the conventions defined in *Part 1- Section 5* of the  
 723 MTConnect standard. When implemented using other technologies or standards, a companion  
 724 specification **MUST** be developed and exemptions to the requirements in *Section 5* **MUST** be  
 725 defined in the companion specification.

### 726 5.1 Standard Request Sequence

727 MTConnect<sup>®</sup> *Agent* **MUST** support three types of requests:

- 728 • `probe` – to retrieve the components and the data items for the device. Returns an MTCon-  
 729 nectDevices XML document.
- 730 • `current` – to retrieve a snapshot of the data item's most recent values or the state of the de-  
 731 vice at a point in time. Returns an MTConnectStreams XML document.
- 732 • `sample` – to retrieve the Samples, Events, and Condition in time series. Returns an MTCon-  
 733 nectStreams XML document.
- 734 • `asset` – to request the most recent state of an asset known to this device.

735 The sequence of requests for a standard MTConnect<sup>®</sup> conversation will typically begin with the  
 736 application issuing a `probe` to determine the capabilities of the device. The result of the `probe`  
 737 will provide the component structure of the device and all the available data items for each  
 738 component.

739 Once the application determines the necessary data items are available from the *Agent*, it can  
740 issue a `current` request to acquire the latest values of all the data items and the next sequence  
741 number for subsequent `sample` requests. The application **SHOULD** also record the  
742 `instanceId` to know when to reset the sequence number in the eventuality of *Agent* failure.  
743 (See *Section 5.11 Fault Tolerance* for a complete discussion of the use of `instanceId`).

744 Once the current state has been retrieved, the *Agent* can be sampled at a rate determined by the  
745 needs of the application. After each request, the application **SHOULD** save the  
746 `nextSequence` number for the next request. This allows the application to receive all results  
747 without missing a single sample or event and removes the need for the application to compute  
748 the value of the `from` parameter for the next request.

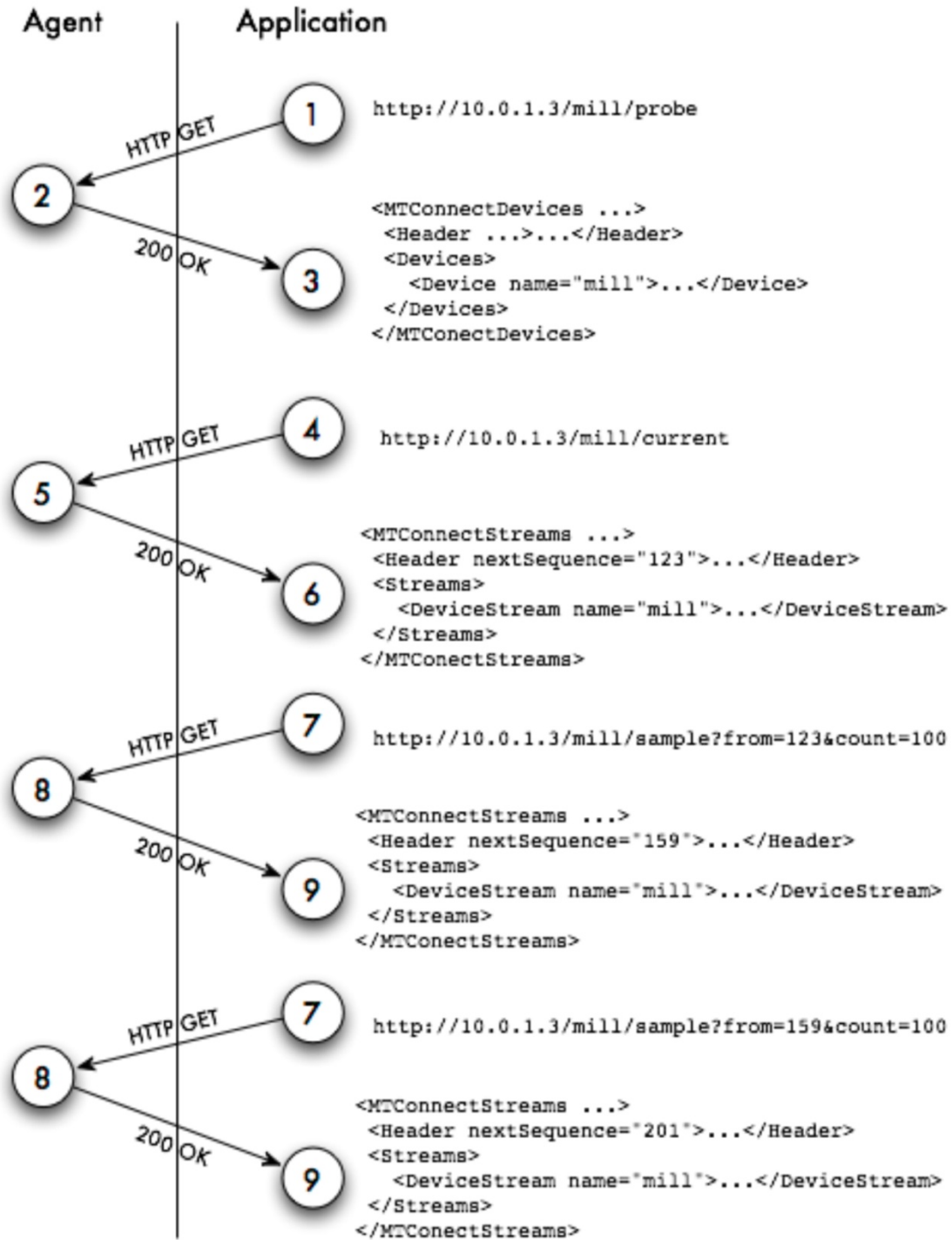


Figure 8: Application and Agent Conversation

749  
750  
751  
752  
753  
754  
755

The above diagram illustrates a standard conversation between an application and an MTConnect® Agent. The sequence is very simple because the entire protocol is an HTTP request/response. The next sequence number handling is shown as a guideline for capturing the stream of Samples, Events, and Condition.

756 While the above diagram illustrates a standard conversation between an application and an  
 757 MTConnect® Agent, any one application or multiple applications may be having several unrelat-  
 758 ed standard conversations occurring simultaneously with the MTConnect® Agent, each poten-  
 759 tially referencing different data or data types and using different portions of the Agent's data ta-  
 760 ble.

## 761 5.2 Probe Requests

762 The MTConnect® Agent **MUST** provide a probe response that describes this Agent's devices  
 763 and all the devices' components and data items being collected. The response to the probe  
 764 **MUST** always provide the most recent information available. A probe request **MUST NOT**  
 765 supply any parameters. If any are supplied, they **MUST** be ignored. The response from the  
 766 probe will be static as long as the machine physical composition and capabilities do not  
 767 change, therefore it is acceptable to probe very infrequently. In many cases, once a week may  
 768 be sufficient.

769 The probe request **MUST** support two variations:

- 770 • The first provides information on only one device. The device's name **MUST** be specified in  
 771 the first part of the path. This example will only retrieve components and data items for the  
 772 mill-1 device.  
 773 13. `http://10.0.1.23/mill-1/probe`
- 774 • The second does not specify the device and therefore retrieves information for all devices:  
 775 14. `http://10.0.1.23/probe`

### 776 5.2.1.1 Example

777 The following is an example probe response for 4 Axis Simulator:

```

778 1. <?xml version="1.0" encoding="UTF-8"?>
779 2. <MTConnectDevices xmlns:m="urn:mtconnect.org:MTConnectDevices:1.1"
780   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
781   xmlns="urn:mtconnect.org:MTConnectDevices:1.1"
782   xsi:schemaLocation="urn:mtconnect.org:MTConnectDevices:1.1
783   http://www.mtconnect.org/schemas/MTConnectDevices_1.1.xsd">
784 3.   <Header creationTime="2010-03-13T08:02:38+00:00" sender="localhost"
785   instanceId="1268463594" bufferSize="131072" version="1.1" />
786 4.   <Devices>
787 5.     <Device id="dev" name="VMC-4Axis" uuid="XXX111">
788 6.       <DataItems>
789 7.         <DataItem category="EVENT" id="avail" type="AVAILABILITY" />
790 8.       </DataItems>
791 9.       <Components>
792 10.        <Axes id="axes" name="axes">
793 11.          <Components>
794 12.            <Linear id="x" name="X">
795 13.              <DataItems>
796 14.                <DataItem category="SAMPLE" id="Xact" nativeUnits="MILLIMETER"
797   subType="ACTUAL" type="POSITION" units="MILLIMETER" />
798 15.                <DataItem category="SAMPLE" id="Xload" nativeUnits="PERCENT"
799   type="LOAD" units="PERCENT" />
800 16.                <DataItem category="CONDITION" id="Xtravel" type="POSITION" />
801 17.                <DataItem category="CONDITION" id="Xovertemp"
802   type="TEMPERATURE" />

```

```

803     18.         <DataItem category="CONDITION" id="Xservo" type="ACTUATOR" />
804     19.         </DataItems>
805     20.     </Linear>
806     21.     <Linear id="y" name="Y">
807     22.         <DataItems>
808     23.             <DataItem category="SAMPLE" id="Yact" nativeUnits="MILLIMETER"
809     subType="ACTUAL" type="POSITION" units="MILLIMETER" />
810     24.             <DataItem category="SAMPLE" id="Yload" nativeUnits="PERCENT"
811     type="LOAD" units="PERCENT" />
812     25.             <DataItem category="CONDITION" id="Ytravel" type="POSITION" />
813     26.             <DataItem category="CONDITION" id="Yovertemp"
814     type="TEMPERATURE" />
815     27.             <DataItem category="CONDITION" id="Yservo" type="ACTUATOR" />
816     28.         </DataItems>
817     29.     </Linear>
818     30.     <Linear id="z" name="Z">
819     31.         <DataItems>
820     32.             <DataItem category="SAMPLE" id="Zact" nativeUnits="MILLIMETER"
821     subType="ACTUAL" type="POSITION" units="MILLIMETER" />
822     33.             <DataItem category="SAMPLE" id="Zload" nativeUnits="PERCENT"
823     type="LOAD" units="PERCENT" />
824     34.             <DataItem category="CONDITION" id="Ztravel" type="POSITION" />
825     35.             <DataItem category="CONDITION" id="Zovertemp"
826     type="TEMPERATURE" />
827     36.             <DataItem category="CONDITION" id="Zservo" type="ACTUATOR" />
828     37.         </DataItems>
829     38.     </Linear>
830     39.     <Rotary id="a" name="A">
831     40.         <DataItems>
832     41.             <DataItem category="SAMPLE" id="Aact" nativeUnits="DEGREE"
833     subType="ACTUAL" type="ANGLE" units="DEGREE" />
834     42.             <DataItem category="SAMPLE" id="Aload" nativeUnits="PERCENT"
835     type="LOAD" units="PERCENT" />
836     43.             <DataItem category="CONDITION" id="Atravel" type="POSITION" />
837     44.             <DataItem category="CONDITION" id="Aovertemp"
838     type="TEMPERATURE" />
839     45.             <DataItem category="CONDITION" id="Aservo" type="ACTUATOR" />
840     46.         </DataItems>
841     47.     </Rotary>
842     48.     <Rotary id="c" name="C" nativeName="S1">
843     49.         <DataItems>
844     50.             <DataItem category="SAMPLE" id="S1speed"
845     nativeUnits="REVOLUTION/MINUTE" type="SPINDLE_SPEED"
846     units="REVOLUTION/MINUTE" />
847     51.             <DataItem category="EVENT" id="S1mode" type="ROTARY_MODE">
848     52.                 <Constraints>
849     53.                     <Value>SPINDLE</Value>
850     54.                 </Constraints>
851     55.             </DataItem>
852     56.             <DataItem category="SAMPLE" id="S1load" nativeUnits="PERCENT"
853     type="LOAD" units="PERCENT" />
854     57.             <DataItem category="CONDITION" id="spindle" type="SYSTEM" />
855     58.         </DataItems>
856     59.     </Rotary>
857     60. </Components>
858     61. </Axes>
859     62. <Controller id="cont" name="controller">

```

```

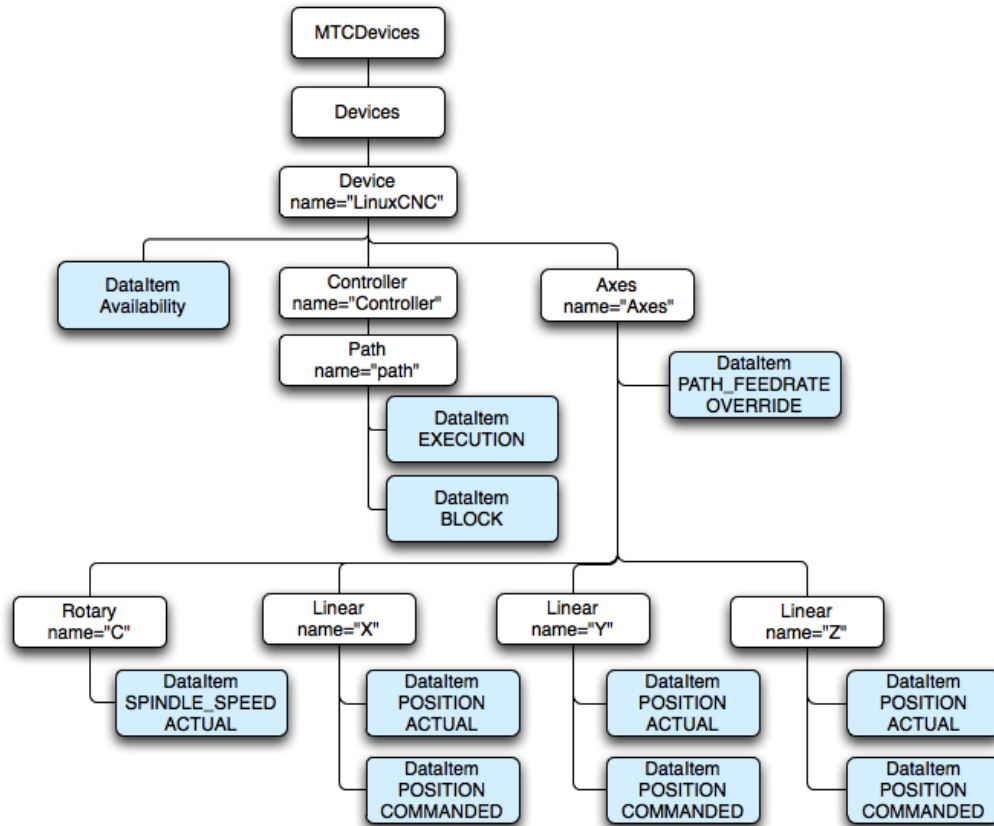
860     63.     <DataItems>
861     64.         <DataItem category="CONDITION" id="logic" type="LOGIC_PROGRAM"
862     />
863     65.         <DataItem category="EVENT" id="estop" type="EMERGENCY_STOP" />
864     66.         <DataItem category="CONDITION" id="servo" type="ACTUATOR" />
865     67.         <DataItem category="EVENT" id="message" type="MESSAGE" />
866     68.         <DataItem category="CONDITION" id="comms" type="COMMUNICATIONS"
867     />
868     69.     </DataItems>
869     70.     <Components>
870     71.         <Path id="path" name="path">
871     72.             <DataItems>
872     73.                 <DataItem category="SAMPLE" id="SspeedOvr"
873     nativeUnits="PERCENT" subType="OVERRIDE" type="SPINDLE_SPEED"
874     units="PERCENT" />
875     74.                 <DataItem category="EVENT" id="block" type="BLOCK" />
876     75.                 <DataItem category="EVENT" id="execution" type="EXECUTION" />
877     76.                 <DataItem category="EVENT" id="program" type="PROGRAM" />
878     77.                 <DataItem category="SAMPLE" id="path_feedrate"
879     nativeUnits="MILLIMETER/SECOND" type="PATH_FEEDRATE"
880     units="MILLIMETER/SECOND" />
881     78.                 <DataItem category="EVENT" id="mode" type="CONTROLLER_MODE" />
882     79.                 <DataItem category="EVENT" id="line" type="LINE" />
883     80.                 <DataItem category="SAMPLE" id="path_pos"
884     nativeUnits="MILLIMETER_3D" subType="ACTUAL" type="PATH_POSITION"
885     units="MILLIMETER_3D" />
886     81.                 <DataItem category="SAMPLE" id="probe"
887     nativeUnits="MILLIMETER_3D" subType="PROBE" type="PATH_POSITION"
888     units="MILLIMETER_3D" />
889     82.                 <DataItem category="EVENT" id="part" type="PART_ID" />
890     83.                 <DataItem category="CONDITION" id="motion"
891     type="MOTION_PROGRAM" />
892     84.                 <DataItem category="CONDITION" id="system" type="SYSTEM" />
893     85.             </DataItems>
894     86.         </Path>
895     87.     </Components>
896     88. </Controller>
897     89. </Components>
898     90. </Device>
899     91. </Devices>
900     92. </MTConnectDevices>

```

### 901 5.3 Sample Request

902 The sample request retrieves the values for the component's data items. The response to a  
903 sample request **MUST** be a valid MTConnectStreams XML Document.

904 The diagram below is an example of all the components and data items in relation to one another.  
905 The device has one Controller with a single Path, three linear and one rotary axis. The  
906 Controller's Path is capable of providing the execution status and the current block of code. The  
907 device has a DataItem with type="AVAILABILITY" , that indicates the device is  
908 available to communicate.



909

910

**Figure 9: Sample Device Organization**

911 The following path will request the data items for all components in mill-1 with regards to the  
 912 example above (note that the path parameter refers to the XML Document structure from the  
 913 probe request, not the XML Document structure of the sample):

914 15. `http://10.0.1.23:3000/mill-1/sample`

915 This is equivalent to providing a path-based filter for the device named mill-1:

916 16. `http://10.0.1.23:3000/sample?path=//Device[@name="mill-1"]`

917 To request all the axes' data items the following path expression is used:

918 17. `http://10.0.1.23:3000/mill-1/sample?path=//Axes`

919 To specify only certain data items to be included (e.g. the positions from the axes), use this form:

920 18. `http://10.0.1.23:3000/mill-`

921 `1/sample?path=//Axes//DataItem[@type="POSITION"]`

922 To retrieve only actual positions instead of both the actual and commanded, the following path  
 923 syntax can be used:

924 19. `http://10.0.1.23:3000/mill-`

925 `1/sample?path=//Axes//DataItem[@type="POSITION" and @subType="ACTUAL"]`

926 or:

927 20. `http://10.0.1.23:3000/mill-`

928 `1/sample?path=//Axes//DataItem[@type="POSITION" and`

929 `@subType="ACTUAL"]&from=50&count=100`



930 The above example will retrieve all the axes' positions from sample 50 to sample 150. The actual  
 931 number of items returned will depend on the contents of the data in the *Agent* and the number of  
 932 results that are actual position samples.

933 A more complete discussion of the protocol can be found in the section on *Protocol Details –*  
 934 *Part 1, Section 5.8.*

### 935 5.3.1 Parameters

936 All parameters **MUST** only be given once and the order of the parameters is not important. The  
 937 MTConnect<sup>®</sup> *Agent* **MUST** accept the following parameters for the `sample` request:

938 `path` - This is an xpath expression specifying the components and/or data items to include in the  
 939 sample. If the path specifies a component, all data items for that component and any of its sub-  
 940 components **MUST** be included. For example, if the application specifies the `path=//Axes`,  
 941 then all the data items for the `Axes` component as well as the `Linear` and `Rotary` sub-  
 942 components **MUST** be included as well. The path **MUST** also include any  
 943 `ComponentReference` and `DataItemReference` that have been associated by another  
 944 component in the `References` collection. These items **MUST** be included as if the xpath had been  
 945 explicitly included in the path.

946 `from` - This parameter requests Events, Condition, and Samples starting at this sequence  
 947 number. The sequence number can be obtained from a prior `current` or `sample` request. The  
 948 response **MUST** provide the `nextSequence` number. If the value is 0 the first available  
 949 sample or event **MUST** be used. If the value is less than 0 (< 0) an `INVALID_REQUEST` error  
 950 **MUST** be returned.

951 `count` - The maximum number of Events, Condition, and Samples to consider, see detailed  
 952 explanation below. Events, Condition, and Samples will be considered between `from` and `from`  
 953 `+ count`, where the latter is the lesser of `from + count` and the last sequence number  
 954 stored in the agent. The *Agent* **MUST NOT** send back more than this number of Events,  
 955 Condition, and Samples (in aggregate), but fewer Events, Condition, and Samples **MAY** be  
 956 returned. If the value is less than 1 (< 1) an `INVALID_REQUEST` error **MUST** be returned.

957 `interval` – The *Agent* **MUST** stream Samples, Events, and Condition to the client application  
 958 pausing for `interval` milliseconds between each part. Each part will contain a maximum of  
 959 `count` Events, Samples, and Condition and `from` will be used to indicate the beginning of the  
 960 stream.

961 The `nextSequence` number in the header **MUST** be set to the sequence number following  
 962 the largest sequence number (highest sequence number + 1) of all the Events, Condition, and  
 963 Samples considered when collecting the results.

964 If no parameters are given, the following defaults **MUST** be used:

965 The `path` **MUST** default to all components in the device or devices if no device is specified.

966 The `count` **MUST** default to 100 if it is not specified.

967 The `from` **MUST** default to 0 and return the first available event or sample. If the latest state is  
 968 desired, see `current`.

## 969 5.4 Current Request

970 If specified without the `at` parameter, the `current` request retrieves the values for the  
 971 components' data items at the point the request is received and **MUST** contain the most current  
 972 values for all data items specified in the request path. If the path is not given, it **MUST** respond  
 973 with all data items for the device(s), in the same way as the `sample` request. The `current` **MUST**  
 974 return the values for the data items, even if the data items are no longer in the buffer.

975 `current` **MUST** return the `nextSequence` number for the event or sample directly  
 976 following the point at which the snapshot was taken. This **MUST** be determined by finding the  
 977 sequence number of the last event or sample in the *Agent* and adding one (+1) to that value. The  
 978 `nextSequence` number **MAY** be used for subsequent samples.

979 The Samples, Events, and Condition returned from the `current` request **MUST** have the time-  
 980 stamp and the sequence number that was assigned at the time the data was collected. The *Agent*  
 981 **MUST NOT** alter the original time, sequence, or values that were assigned when the data was  
 982 collected.

```
983 http://10.0.1.23:3000/mill-1/current?path=//Axes//DataItem[@type="POSITION"  
984 and @subType="ACTUAL"]
```

985 This example will retrieve the current actual positions for all the axes, as with a `sample`, except  
 986 with `current`, there will always be a sample or event for each data item if at least one piece of  
 987 data was retrieved from the device.

```
988 http://10.0.1.23:3000/mill-1/current?path=//Axes//DataItem[@type="POSITION"  
989 and @subType="ACTUAL"]&at=1232
```

990 The above example retrieves the axis actual position at a specific earlier point in time - in this  
 991 case, at Sequence Number 1232.

### 992 5.4.1 Parameters

993 The MTConnect<sup>®</sup> *Agent* **MUST** accept the following parameter for the `current` request:

994 `path` - same requirements as `sample`.

995 `interval` - same requirements as `sample`. **MUST NOT** be used with `at`.

996 `at` - an optional argument specifying the MTConnect protocol sequence number. If supplied, the  
 997 most current values on or before the sequence number **MUST** be provided. If `at` is not provided,  
 998 the latest values **MUST** be provided. `at` **MUST NOT** be used with the `interval` as this will  
 999 just return the same data set repeatedly.

1000 If no parameters are provided for the `current` request, all data items **MUST** be retrieved with  
 1001 their latest values.

## 1002 5.4.2 Getting the State at a Sequence Number

1003 The current at allows an application to monitor real-time conditions and then perform causal  
 1004 analysis by requesting the current values for all the data items at the sequence number of interest.  
 1005 This removes the requirement that the application continually poll for all states and burden the  
 1006 server and the network with unneeded information associated with faults or other abnormal  
 1007 conditions. Please refer to *Part 1 - 5.8.1 Buffer Semantics* for a full description of the behavior of  
 1008 the storage and retrieval of data when using the at parameter.

1009 An example of the current request using the at parameter with a very simple machine  
 1010 configuration:

```

1011 <?xml version="1.0" encoding="UTF-8"?>
1012 <MTConnectDevices xmlns="urn:mtconnect.org:MTConnectDevices:1.1"
1013 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1014 xsi:schemaLocation="urn:mtconnect.org:MTConnectDevices:1.1
1015 http://www.mtconnect.org/schemas/MTConnectDevices_1.1.xsd">
1016   <Header creationTime="2010-04-01T21:22:43" sender="host" version="1.1"
1017 bufferSize="1" instanceId="1"/>
1018   <Devices>
1019     <Device name="minimal" uuid="1" id="d">
1020       <DataItems>
1021         <DataItem type="AVAILABILITY" category="EVENT" id="avail" />
1022       </DataItems>
1023       <Components>
1024         <Controller name="controller" id="c1">
1025           <DataItems>
1026             <DataItem id="estop" type="EMERGENCY_STOP" category="EVENT"/>
1027             <DataItem id="system" type="SYSTEM" category="CONDITION" />
1028           </DataItems>
1029           <Components>
1030             <Path id="p1" name="path" >
1031               <DataItems>
1032                 <DataItem id="execution" type="EXECUTION" category="EVENT"/>
1033               </DataItems>
1034             </Path>
1035           </Components>
1036         </Controller>
1037       </Components>
1038     </Device>
1039   </Devices>
1040 </MTConnectDevices>

```

1041 Here is a series of events and condition:

Time Offset	Sequence	Id	Value
06:19:25.089023	1	estop	UNAVAILABLE
06:19:25.089023	2	execution	UNAVAILABLE
06:19:25.089023	3	avail	UNAVAILABLE
06:19:25.089023	4	system	Unavailable
06:19:35.153141	5	avail	AVAILABLE

Time Offset	Sequence	Id	Value
06:19:35.153141	6	execution	STOPPED
06:19:35.153141	7	estop	ACTIVE
06:19:35.153370	8	system	Normal
06:20:05.153230	9	estop	RESET
06:20:05.153230	10	execution	ACTIVE
06:20:35.153716	11	system	Fault
06:21:05.153587	12	execution	STOPPED
06:21:35.153784	13	system	Normal
06:22:05.153741	14	execution	ACTIVE

1042

1043 If a current request is made after this sequence of events, the result will be as follows:

```

1044 <?xml version="1.0" encoding="UTF-8"?>
1045 <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1046 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1047 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
1048 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1049 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
1050   <Header creationTime="2010-04-06T06:53:34+00:00" sender="localhost" in-
1051 stanceId="1270534765" bufferSize="16" version="1.1" nextSequence="19"
1052 firstSequence="3" lastSequence="18" />
1053   <Streams>
1054     <DeviceStream name="minimal" uuid="1">
1055       <ComponentStream component="Device" name="minimal" componentId="d">
1056         <Events>
1057           <Availability dataItemId="avail" sequence="5" timestamp="2010-04-
1058 06T06:19:35.153141">AVAILABLE</Availability>
1059         </Events>
1060       </ComponentStream>
1061       <ComponentStream component="Controller" name="controller" componen-
1062 tId="c1">
1063         <Events>
1064           <EmergencyStop dataItemId="estop" sequence="9" timestamp="2010-04-
1065 06T06:20:05.153230">RESET</EmergencyStop>
1066         </Events>
1067         <Condition>
1068           <Normal dataItemId="system" sequence="13" timestamp="2010-04-
1069 06T06:21:35.153784" type="SYSTEM" />
1070         </Condition>
1071       </ComponentStream>
1072       <ComponentStream component="Path" name="path" componentId="p1">
1073         <Events>
1074           <Execution dataItemId="execution" sequence="14" timestamp="2010-04-
1075 06T06:22:05.153741">ACTIVE</Execution>
1076         </Events>
1077       </ComponentStream>
1078     </DeviceStream>
1079   </Streams>

```

```
1080 </MTConnectStreams>
1081
```

1082 If we want to inspect the state of the machine at the point the fault occurred, sequence number  
 1083 11, we can issue a request: <http://localhost:5000/current?at=11>. This will return  
 1084 the following response:

```
1085 <?xml version="1.0" encoding="UTF-8"?>
1086 <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1087 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1088 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
1089 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1090 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
1091   <Header creationTime="2010-04-06T07:05:49+00:00" sender="localhost" in-
1092 stanceId="1270534765" bufferSize="16" version="1.1" nextSequence="19"
1093 firstSequence="3" lastSequence="18" />
1094   <Streams>
1095     <DeviceStream name="minimal" uuid="1">
1096       <ComponentStream component="Device" name="minimal" componentId="d">
1097         <Events>
1098           <Availability dataItemId="avail" sequence="5" timestamp="2010-04-
1099 06T06:19:35.153141">AVAILABLE</Availability>
1100         </Events>
1101       </ComponentStream>
1102       <ComponentStream component="Controller" name="controller" componen-
1103 tId="c1">
1104         <Events>
1105           <EmergencyStop dataItemId="estop" sequence="9" timestamp="2010-04-
1106 06T06:20:05.153230">RESET</EmergencyStop>
1107         </Events>
1108         <Condition>
1109           <Fault dataItemId="system" sequence="11" timestamp="2010-04-
1110 06T06:20:35.153716" type="SYSTEM" />
1111         </Condition>
1112       </ComponentStream>
1113       <ComponentStream component="Path" name="path" componentId="p1">
1114         <Events>
1115           <Execution dataItemId="execution" sequence="10" timestamp="2010-04-
1116 06T06:20:05.153230">ACTIVE</Execution>
1117         </Events>
1118       </ComponentStream>
1119     </DeviceStream>
1120   </Streams>
1121 </MTConnectStreams>
1122
```

1123 With MTConnect you can replay the history and move forward a single sequence to see what  
 1124 happened immediately after the fault occurred:

1125 <http://localhost:5000/current?at=12>.

```
1126 <?xml version="1.0" encoding="UTF-8"?>
1127 <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1128 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1129 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
1130 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1131 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
```

```

1132     <Header creationTime="2010-04-06T07:05:55+00:00" sender="localhost" in-
1133 stanceId="1270534765" bufferSize="16" version="1.1" nextSequence="19"
1134 firstSequence="3" lastSequence="18" />
1135     <Streams>
1136         <DeviceStream name="minimal" uuid="1">
1137             <ComponentStream component="Device" name="minimal" componentId="d">
1138                 <Events>
1139                     <Availability dataItemId="avail" sequence="5" timestamp="2010-04-
1140 06T06:19:35.153141">AVAILABLE</Availability>
1141                 </Events>
1142             </ComponentStream>
1143             <ComponentStream component="Controller" name="controller" componen-
1144 tId="c1">
1145                 <Events>
1146                     <EmergencyStop dataItemId="estop" sequence="9" timestamp="2010-04-
1147 06T06:20:05.153230">RESET</EmergencyStop>
1148                 </Events>
1149                 <Condition>
1150                     <Fault dataItemId="system" sequence="11" timestamp="2010-04-
1151 06T06:20:35.153716" type="SYSTEM" />
1152                 </Condition>
1153             </ComponentStream>
1154             <ComponentStream component="Path" name="path" componentId="p1">
1155                 <Events>
1156                     <Execution dataItemId="execution" sequence="12" timestamp="2010-04-
1157 06T06:21:05.153587">STOPPED</Execution>
1158                 </Events>
1159             </ComponentStream>
1160         </DeviceStream>
1161     </Streams>
1162 </MTConnectStreams>
1163

```

1164 Here one can see that execution state has now transitioned to STOPPED and the Fault is still  
1165 active. The application is free to scroll through the buffer from the first sequence number to the  
1166 last sequence number.

1167 It should also be noted that the first sequence number is 3 and a request before this first sequence  
1168 number is not allowed. If, for example, a request is made at sequence 2:  
1169 <http://localhost:5000/current?at=2>, an error will be returned:

```

1170 <?xml version="1.0" encoding="UTF-8"?>
1171 <MTConnectError xmlns:m="urn:mtconnect.org:MTConnectError:1.1"
1172 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1173 xmlns="urn:mtconnect.org:MTConnectError:1.1"
1174 xsi:schemaLocation="urn:mtconnect.org:MTConnectError:1.1
1175 http://www.mtconnect.org/schemas/MTConnectError_1.1.xsd">
1176     <Header creationTime="2010-04-06T22:01:17+00:00" sender="localhost" in-
1177 stanceId="1270534765" bufferSize="16" version="1.1" />
1178     <Errors>
1179         <Error errorCode="QUERY_ERROR">'at' must be greater than or equal to
1180 3.</Error>
1181     </Errors>
1182 </MTConnectError>

```

### 1183 5.4.3 Determining Event Duration

1184 A common requirement is to determine the duration of an event, such as how long the machine  
 1185 has been actively executing a program. The addition of `current` with the `at` parameter  
 1186 facilitates this operation. The following is an example based on the value of the `Execution`  
 1187 tag.

```

1188 <?xml version="1.0" encoding="UTF-8"?>
1189 <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1190 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1191 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
1192 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1193 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
1194   <Header creationTime="2010-04-17T08:05:10+00:00" sender="localhost" instanceId="1267747762"
1195   bufferSize="131072" version="1.1" nextSequence="746859061" firstSequence="746727989" last-
1196   Sequence="746859060" />
1197   <Streams>
1198     <DeviceStream name="VMC-3Axis" uuid="000">
1199       <ComponentStream component="Path" name="path" componentId="pth">
1200         <Samples>
1201           <PathFeedrate dataItemId="Fovr" sequence="746803687" timestamp="2010-04-
1202   17T08:01:45.149887">100.0000000000</PathFeedrate>
1203           <PathFeedrate dataItemId="Frt" sequence="746859054" timestamp="2010-04-
1204   17T08:05:09.829551">0</PathFeedrate>
1205         </Samples>
1206         <Events>
1207           <Block dataItemId="cn2" name="block" sequence="746858893" timestamp="2010-04-
1208   17T08:05:08.597481">G0Z1</Block>
1209           <ControllerMode dataItemId="cn3" name="mode" sequence="746803685" timestamp="2010-04-
1210   17T08:01:45.149887">AUTOMATIC</ControllerMode>
1211           <Line dataItemId="cn4" name="line" sequence="746859056" timestamp="2010-04-
1212   17T08:05:09.861553">0</Line>
1213           <Program dataItemId="cn5" name="program" sequence="746803684" timestamp="2010-04-
1214   17T08:01:45.149887">FLANGE_CAM.NGC</Program>
1215           <Execution dataItemId="cn6" name="execution" sequence="746859059" timestamp="2010-
1216   04-17T08:05:09.905555">READY</Execution>
1217         </Events>
1218       </ComponentStream>
1219     </DeviceStream>
1220   </Streams>
1221 </MTConnectStreams>

```

1222 When the execution value changes to `READY` after it was in the `ACTIVE` state, we can determine  
 1223 the duration by performing a `current` with `at` set to one minus the sequence number of the  
 1224 event in question. In this case `Execution` has a sequence number 746859059, so one would  
 1225 perform a request as follows:

1226 <http://agent.mtconnect.org:5000/current?path=/Path&at=746859058>

1227 This will result in the following response:

```

1228 <?xml version="1.0" encoding="UTF-8"?>
1229 <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1230 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1231 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"

```



```

1232  xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1233  http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
1234    <Header creationTime="2010-04-17T08:05:33+00:00" sender="localhost" instanceId="1267747762"
1235    bufferSize="131072" version="1.1" nextSequence="746859061" firstSequence="746727989" last-
1236    Sequence="746859060" />
1237    <Streams>
1238      <DeviceStream name="VMC-3Axis" uuid="000">
1239        <ComponentStream component="Path" name="path" componentId="pth">
1240          <Samples>
1241            <PathFeedrate dataItemId="Fovr" sequence="746803687" timestamp="2010-04-
1242 17T08:01:45.149887">100.0000000000</PathFeedrate>
1243            <PathFeedrate dataItemId="Frt" sequence="746859054" timestamp="2010-04-
1244 17T08:05:09.829551">0</PathFeedrate>
1245          </Samples>
1246          <Events>
1247            <Block dataItemId="cn2" name="block" sequence="746858893" timestamp="2010-04-
1248 17T08:05:08.597481">G0Z1</Block>
1249            <ControllerMode dataItemId="cn3" name="mode" sequence="746803685" timestamp="2010-04-
1250 17T08:01:45.149887">AUTOMATIC</ControllerMode>
1251            <Line dataItemId="cn4" name="line" sequence="746859056" timestamp="2010-04-
1252 17T08:05:09.861553">0</Line>
1253            <Program dataItemId="cn5" name="program" sequence="746803684" timestamp="2010-04-
1254 17T08:01:45.149887">FLANGE_CAM.NGC</Program>
1255            <Execution dataItemId="cn6" name="execution" sequence="746803674" timestamp="2010-
1256 04-17T08:01:45.149887">ACTIVE</Execution>
1257          </Events>
1258        </ComponentStream>
1259      </DeviceStream>
1260    </Streams>
1261  </MTConnectStreams>

```

1262 The previous event shows the `Execution` in the `ACTIVE` state. The next step is to take the  
1263 difference between the two time-stamps:

1264 **2010-04-17T08:05:09.905555 - 2010-04-17T08:01:45.149887 =**  
1265 **204.755668 Seconds or 00:03:24.755668**

1266 The technique can be used for any observed values in MTConnect since only the changes are  
1267 recorded, the previous state will always be available using the current at the previous sequence  
1268 number, even if the previous event is no longer in the buffer, but the previous sequence number  
1269 is greater than the `firstSequence` number.

## 1270 5.5 Streaming

1271 When the `interval` parameter is provided, the MTConnect<sup>®</sup> *Agent* **MUST** find all available  
1272 events, samples, and condition that match the current filter criteria specified by the path delaying  
1273 `interval` milliseconds between data or at its maximum possible rate. The `interval`  
1274 indicates the delay between the end of one data transmission and the beginning of the next data  
1275 transmission. A `interval` of zero indicates the *Agent* deliver data at its highest possible rate.

1276 The `interval` **MUST** be given in milliseconds. If there are no available events or samples, the  
1277 *Agent* **MAY** delay sending an update for **AT MOST** ten (10) seconds. The *Agent* **MUST** send



1278 updates at least once every ten (10) seconds to ensure the receiver that the *Agent* is functioning  
 1279 correctly. The content of the streams **MUST** be empty if no data is available for a given interval.

1280 The format of the response **MUST** use a MIME encoded message with each section separated by  
 1281 a MIME boundary. Each section of the response **MUST** contain an entire  
 1282 MTConnectStreams document.

1283 For more information on MIME see rfc1521 and rfc822. This format is in use with most  
 1284 streaming web media protocols.

1285 Request:

1286 `http://localhost/sample?interval=1000&path=//DataItem[@type="AVAILABILITY"]`

1287 Sample response:

1288 1. HTTP/1.1 200 OK  
 1289 2. Connection: close  
 1290 3. Date: Sat, 13 Mar 2010 08:33:37 UTC  
 1291 4. Status: 200 OK  
 1292 5. Content-Disposition: inline  
 1293 6. X-Runtime: 144ms  
 1294 7. Content-Type: multipart/x-mixed-  
 1295 replace;boundary=a8e12eced4fb871ac096a99bf9728425  
 1296 8.  
 1297

1298 Lines 1-8 are a standard header for a MIME multipart message. The boundary is a separator for  
 1299 each section of the stream. The content length is set to some arbitrarily large number or omitted.  
 1300 Line 10 indicates this is a multipart MIME message and the boundary between sections.

1301 9. --a8e12eced4fb871ac096a99bf9728425  
 1302 10. Content-type: text/xml  
 1303 11. Content-length: 887  
 1304 12.  
 1305 13. <?xml version="1.0" encoding="UTF-8"?>  
 1306 14. <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"  
 1307 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"  
 1308 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"  
 1309 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1  
 1310 http://www.mtconnect.org/schemas/MTConnectStreams\_1.1.xsd">  
 1311 15. <Header creationTime="2010-03-13T08:33:37+00:00" sender="localhost"  
 1312 instanceId="1268469210" bufferSize="131072" version="1.1" nextSequence="43"  
 1313 firstSequence="1" lastSequence="42" />  
 1314 16. <Streams/>  
 1315 17. </MTConnectStreams>

1316 Lines 9-17 are the first section of the stream. Since there was no activity in this time period  
 1317 there are no component streams included. Each section presents the content type and the  
 1318 length of the section. The boundary is chosen to be a string of characters that will not appear  
 1319 in the message.

```
1320 18. --a8e12eced4fb871ac096a99bf9728425
1321 19. Content-type: text/xml
1322 20. Content-length: 545
1323 21.
1324 22. <?xml version="1.0" encoding="UTF-8"?>
1325 23. <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1326 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1327 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
1328 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1329 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
1330 24. <Header creationTime="2010-03-13T08:33:38+00:00" sender="localhost"
1331 instanceId="1268469210" bufferSize="131072" version="1.1" nextSequence="43"
1332 firstSequence="1" lastSequence="42" />
1333 25. <Streams>
1334 26. <DeviceStream name="VMC-4Axis" uuid="XXX111">
1335 27. <ComponentStream component="Device" name="VMC-4Axis"
1336 componentId="dev">
1337 28. <Events>
1338 29. <Availability dataItemId="avail" sequence="25"
1339 timestamp="2010-03-13T08:33:30.555235">UNAVAILABLE</Availability>
1340 30. </Events>
1341 31. </ComponentStream>
1342 32. </DeviceStream>
1343 33. </Streams>
1344 34. </MTConnectStreams>
```

1345 Lines 18-34: After a period of time, the power gets turned off and a new mime part is sent with  
 1346 the new status.

```
1347 35. --a8e12eced4fb871ac096a99bf9728425
1348 36. Content-type: text/xml
1349 37. Content-length: 883
1350 38.
1351 39. <?xml version="1.0" encoding="UTF-8"?>
1352 40. <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1353 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1354 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
1355 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1356 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
```

```

1357 41. <Header creationTime="2010-03-13T08:34:18+00:00" sender="localhost"
1358 instanceId="1268469210" bufferSize="131072" version="1.1" nextSequence="98"
1359 firstSequence="1" lastSequence="97" />
1360 42. <Streams>
1361 43.   <DeviceStream name="VMC-4Axis" uuid="XXX111">
1362 44.     <ComponentStream component="Device" name="VMC-4Axis"
1363 componentId="dev">
1364 45.       <Events>
1365 46.         <Availability dataItemId="avail" sequence="65"
1366 timestamp="2010-03-13T08:34:16.0312">AVAILABLE</Availability>
1367 47.       </Events>
1368 48.     </ComponentStream>
1369 49.   </DeviceStream>
1370 50. </Streams>
1371 51. </MTConnectStreams>

```

1372 Lines 34-51: Approximately six seconds later the machine is turned back on and a new message  
1373 is generated. Even though sample interval parameter (sample?interval=1000) is set to  
1374 1,000 milliseconds, the *Agent* waited for ten seconds to send a new XML document.

```

1375 52. --a8e12eced4fb871ac096a99bf9728425
1376 53. Content-type: text/xml
1377 54. Content-length: 545
1378 55.
1379 56. <?xml version="1.0" encoding="UTF-8"?>
1380 57. <MTConnectStreams xmlns:m="urn:mtconnect.org:MTConnectStreams:1.1"
1381 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1382 xmlns="urn:mtconnect.org:MTConnectStreams:1.1"
1383 xsi:schemaLocation="urn:mtconnect.org:MTConnectStreams:1.1
1384 http://www.mtconnect.org/schemas/MTConnectStreams_1.1.xsd">
1385 58. <Header creationTime="2010-03-13T08:34:27+00:00" sender="localhost"
1386 instanceId="1268469210" bufferSize="131072" version="1.1" nextSequence="98"
1387 firstSequence="1" lastSequence="97" />
1388 59. <Streams />
1389 60. </MTConnectStreams>

```

1390 Lines 52-60 demonstrate a heartbeat sent out 10 seconds after the previous message. Since there  
1391 is no activity there is no content in the device streams element.

1392 The *Agent* **MUST** continue to stream results until the client closes the connection. The *Agent*  
1393 **MUST NOT** stop the streaming for any other reason other than the *Agent* process shutting down.

## 1394 5.6 Asset Requests

1395 The MTConnect agent is capable of storing a limited number of assets. An Asset is something  
1396 that is associated with the manufacturing process that is not a component of a device, can be  
1397 removed without detriment to the function of the device, and can be associated with other  
1398 devices during their lifecycle.

1399 The assets are referenced by their asset id. The id is a permanent identifier that will be associated  
1400 with this asset for its entire life.

1401 When an asset is added or modified, the agent will generate an AssetChanged event. For devices  
1402 that manage assets, these data items **MUST** be added to the data items list at the device level.

1403 The agent **MUST** store one or more assets. It **MAY** decide the capacity based on the available  
1404 storage and scalability of the implementation. Similar to Events, Samples, and Conditions, the  
1405 data will be managed on a first in first out basis.

1406 The asset's timestamp will be used to determine the oldest asset for removal. As assets are  
1407 modified, their timestamp is updated to the current time. As with all timestamps in MTConnect,  
1408 the time will be given using the UTC (or GMT) timezone.

## 1409 5.7 HTTP Response Codes and Error

1410 MTConnect<sup>®</sup> uses the HTTP response codes to indicate errors where no XML document is  
1411 returned because the request was malformed and could not be handled by the *Agent*. These errors  
1412 are serious and indicate the client application is sending malformed requests or the *Agent* has an  
1413 unrecoverable error. The error code **MAY** also be used for HTTP authentication with the 401  
1414 request for authorization. The HTTP protocol has a large number of codes defined<sup>1</sup>; only the  
1415 following mapping **MUST** be supported by the MTConnect<sup>®</sup> *Agent*:

HTTP Status	Name	Description
200	OK	The request was handled successfully.
400	Bad Request	The request could not be interpreted.
500	Internal Error	There was an internal error in processing the request. This will require technical support to resolve.
501	Not Implemented	The request cannot be handled on the server because the specified functionality is not implemented.

1416

### 1417 5.7.1 MTConnectError

1418 The MTConnectError document **MUST** be returned if the *Agent* cannot handle the request.  
1419 The Error contains an errorCode and the CDATA of the element is the complete error text.  
1420 The classification for errors is expected to expand as the standard matures.

1421 For backward compatibility, MTConnectError can contain a single Error element. If there  
1422 is more than one error to report, it is up to the implementation of the *Agent* to determine the most  
1423 important error to include.

<sup>1</sup> For a full list of HTTP response codes see the following document:  
<http://www.w3.org/Protocols/rfc2616/rfc2616-sec10.html>

1424 5.7.2 **Errors**

1425 The `MTConnectError` element **MUST** contain all relevant errors for the given request. The  
 1426 `Errors` element **MUST** contain at least one `Error` element. There are no attributes for this  
 1427 element.

1428 5.7.3 **Error**

1429 The `Error` contains an `errorCode` and the CDATA of the element is the complete error text.  
 1430 The classification for errors is expected to expand as the standard matures.

1431

Attributes	Description	Occurrence
<code>errorCode</code>	An error code	1

1432

1433

1434 The CDATA of the `Error` element is the textual description of the error and any additional  
 1435 information the *Agent* wants to send. The `Error` element **MUST** contain one of the following  
 1436 error codes:

Error Code	Description
UNAUTHORIZED	The request did not have sufficient permissions to perform the request.
NO_DEVICE	The device specified in the URI could not be found.
OUT_OF_RANGE	The sequence number was beyond the end of the buffer.
TOO_MANY	The count given is too large.
INVALID_URI	The URI provided was incorrect.
INVALID_REQUEST	The request was not one of the three specified requests.
INTERNAL_ERROR	Contact the software provider, the <i>Agent</i> did not behave correctly.
INVALID_XPATH	The XPath could not be parsed. Invalid syntax or XPath did not match any valid elements in the document.
UNSUPPORTED	A valid request was provided, but the <i>Agent</i> does not support the feature or request type.
ASSET_NOT_FOUND	An asset ID cannot be located.

1437

1438

1439 Here is an example of an HTTP error:

- 1440 1. HTTP/1.1 200 Success  
 1441 2. Content-Type: text/xml; charset=UTF-8  
 1442 3. Server: Agent  
 1443 4. Date: Sun, 23 Dec 2007 21:10:19 GMT  
 1444 5.

```

1445 6. <?xml version="1.0" encoding="UTF-8"?>
1446 7. <MTConnectError xmlns="urn:mtconnect.org:MTConnectError:1.1"
1447   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
1448   xsi:schemaLocation="urn:mtconnect.org:MTConnectError:1.1
1449   http://www.mtconnect.org/schemas/MTConnectError_1.1.xsd">
1450 8. <Header creationTime="2010-03-12T12:33:01" sender="localhost"
1451   version="1.1" bufferSize="131000" instanceId="1" />
1452 9. <Errors>
1453 10. <Error errorCode="OUT_OF_RANGE" >Argument was out of range</Error>
1454 11. <Error errorCode="INVALID_XPATH" >Bad path</Error>
1455 12. </Errors>
1456 13. </MTConnectError>

```

## 1457 5.8 Protocol Details

1458 When an MTConnect<sup>®</sup> *Agent* collects information from the device, it assigns each piece of  
1459 information a unique sequence number. The sequence number **MUST** be assigned in  
1460 monotonically increasing numbers in the order they arrive at the *Agent*. Each source **SHOULD**  
1461 provide a time-stamp indicating when the information was collected from the component. If no  
1462 time-stamp is provided, the *Agent* **MUST** provide a time-stamp of its own. The time-stamps  
1463 reported by the *Agent* **MUST** be used as the means for the ordering of the messages as opposed  
1464 to using the sequence number for this purpose.

1465 Note: It is assumed the time-stamp is the best available estimate of when the data was recorded.

1466 If two data items are sampled at the same exact time, they **MUST** be given the same time stamp.  
1467 It is assumed that all events or samples with the same timestamp occurred at the same moment. A  
1468 sample is considered to be valid until the time of the next sample for the same data item. If no  
1469 new samples are present for a data item, the last value is maintained for the entire period between  
1470 the samples. **Important:** MTConnect<sup>®</sup> only records data when it changes. If the value remains  
1471 the same, MTConnect **MUST NOT** record a duplicate value with a new sequence number and  
1472 time stamp. There **MUST NEVER** be two identical adjacent values for the same data item in the  
1473 same component.

1474 For example, if the Xact is 0 at 12:00:00.0000 and Yact is 1 at 12:00:00.0000, these two samples  
1475 were collected at the same moment. If Yact is 2 at 12:01.0000 and there is no value at this point  
1476 for Xact, it is assumed that Xact is still 0 and has not moved.

1477 The sequence number **MUST** be unique for this instance of the MTConnect<sup>®</sup> *Agent*, regardless  
1478 of the device or component the data came from. The MTConnect<sup>®</sup> *Agent* provides the sequence  
1479 numbers in series for all devices using the same counter. This allows for multi-device responses  
1480 without sequence number collisions and unnecessary protocol complexity.

1481 As an implementation warning, it is the applications responsibility to make sure it does not miss  
1482 information from the *Agent*. The *Agent* has no awareness of the application or the application's  
1483 requirements for data, and it therefore does not guarantee the application receive all pieces of  
1484 data. The *Agent* protocol makes it easy for the application developers to determine if they have  
1485 received all pieces of data by scrolling through the buffer. If they ever receive an

1486 OUT\_OF\_RANGE error due to providing a `from` argument that references a sequence number  
 1487 prior to the beginning of the retained data, they know they have missed some information.

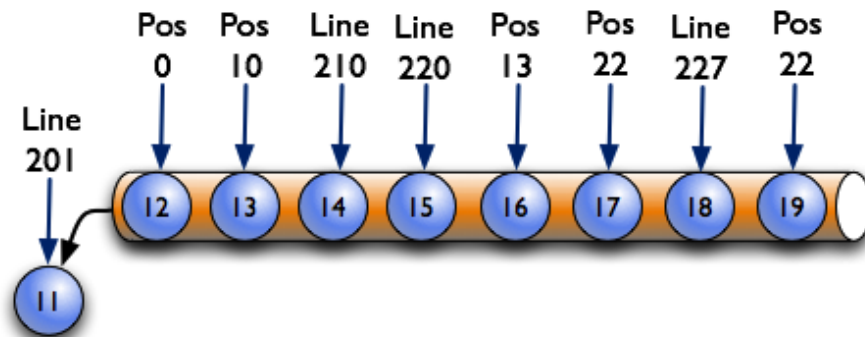
1488 If the application only uses `current` requests, it may miss information since it will only be  
 1489 receiving a snapshot at various points in time. For some display application that do not need to  
 1490 store or reason on the data, this may be adequate, but if more in-depth analysis is to be  
 1491 performed, it is advised that the application make requests based on their data requirements using  
 1492 filtering and streams to get all vital information. For example, the application can request all  
 1493 condition types and controller events, and then sample other pieces of data for which they have  
 1494 less strict requirements. Breaking things out like this will allow for continuous data flow and  
 1495 minimal bandwidth utilization.

1496 The application may request any sequence of data within the buffer at any time using either the  
 1497 `sample from` or the `current at` semantics. With these two calls it is easy for the  
 1498 application to go back in time and find data prior to an occurrence. It is of course limited to the  
 1499 size of the buffer and rate of incoming data.

### 1500 5.8.1 Buffer Semantics

1501 The MTCConnect buffer can be thought of as a tube that can hold a finite set of balls. As balls are  
 1502 inserted in one end they fill the tube until there is no more room for additional balls at which  
 1503 point any new balls inserted will push the oldest ball out the back of the tube. The tube will  
 1504 continue to shift in this manner with monotonically increasing sequence numbers being assigned  
 1505 as each ball gets inserted. The sequence numbers will never be reused for one instance of the  
 1506 *Agent* process. Since the sequence number is a 64 bit integer, the numbers will never (at least  
 1507 within the next 100,000 years) wrap around or be exhausted.

1508 The following example is a contrived agent with only 8 slots and two data item types, a Line  
 1509 (**Line**) event and a Position (**Pos**) sample. The Position sample at sequence number 19 was just  
 1510 inserted and the event at sequence number 11 was just removed.



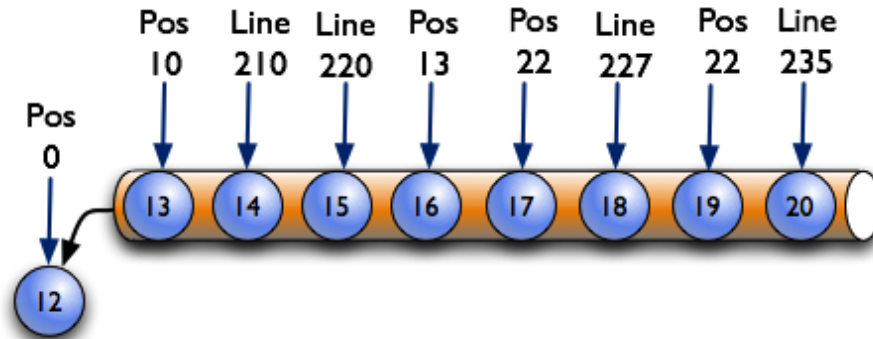
1511

1512

**Figure 10: Example Buffer 1**

1513 If we perform a `current` request, we will receive Line 227 and Pos 22. If the `at` parameter is  
 1514 given to the `current` request and is set to 12, we will receive Line 201 and Position 0, and as  
 1515 follows at 13 will retrieve Line 201 and Position 10. Note: The last value for all Events, Samples,  
 1516 and each Condition will be preserved until they are replaced. Therefore, Line 201 is returned  
 1517 since it has not been replaced until sequence number 14 where Line is 210.

1518 If a `current` request is made for a sequence number prior to 12, the agent **MUST** return a  
 1519 `OUT_OF_RANGE` error. For example, a request for `current` at 11 will result in  
 1520 `OUT_OF_RANGE` error. The same error **MUST** be given if a sequence number is requested that  
 1521 is greater than the end of the buffer. For example, a request for `current` at 20 will result in an  
 1522 `OUT_OF_RANGE` error.



1523  
 1524 **Figure 11: Buffer Semantics 2**

1525 The above illustration show what happens when another `Line` event is added at sequence number  
 1526 20. The `Pos 0` is sample is pushed out the back of the pipe and the first available sequence  
 1527 number is now 13. A request for the `current` at 13 will still retrieve a `Line` of 201, since the  
 1528 first value for line has not been replaced. The value for `Line 201` **MUST** be retained until 13 rolls  
 1529 off the end and the `firstSequence` number is 14.

1530 If no previous value for line is available, then the value for the line **MUST** be `UNAVAILABLE`.  
 1531 This is true for recovery as well when the data is restored from a persistent store, any data items  
 1532 that can not be restored to a previous value **MUST** be marked as `UNAVAILABLE`.

### 1533 5.8.2 Buffer Windows

1534 The information in `MTConnect®` can be thought of as a four column table of data where the first  
 1535 column is a sequence number increasing by increments of one, the second column is the time, the  
 1536 third column is the data item it is associated with, and the fourth column is the value. The  
 1537 storage, internal representation, and implementation is not part of this standard. The implementer  
 1538 can choose to store as much or as little information as they want, as long as they can support the  
 1539 requirements of the standard. They can also decide if it is necessary to locally store the data.

1540 The following examples will use only a single device. Multiple devices are treated the same as  
 1541 single devices. We will document the multiple device scenarios in more depth in future versions  
 1542 of this standard.



1543 The following table is an example of a small window of data collected from a device:

**Agent**

Seq	Time	Data Item	Value
101	2007-12-13T09:44:00.0221	Availability	UNAVAILABLE
102	2007-12-13T09:54:00.4412	Availability	AVAILABLE
103	2007-12-13T10:00:00.0002	Position Y	25
104	2007-12-13T10:00:00.0002	Position Z	1
105	2007-12-13T10:00:00.0002	Spindle Speed	0
106	2007-12-13T10:01:02.0012	Position X	11
107	2007-12-13T10:01:02.0012	Position Y	24
108	2007-12-13T10:01:02.0012	Position Z	1.1
109	2007-12-13T10:01:04.0012	Spindle Speed	1000
110	2007-12-13T10:01:04.5012	Position X	12
111	2007-12-13T10:01:04.5012	Position Y	23
112	2007-12-13T10:01:04.5012	Position Z	1.2
113	2007-12-13T10:01:05.5012	Position X	13
114	2007-12-13T10:01:05.5012	Position Y	22
115	2007-12-13T10:01:06.5012	Position X	14
116	2007-12-13T10:01:06.9012	Position Y	22
117	2007-12-13T10:01:07.0001	Position X	14
118	2007-12-13T10:01:07.0001	Position Z	1.3
119	2007-12-13T10:01:07.5001	Position X	15
120	2007-12-13T10:01:07.5001	Position Y	21
121	2007-12-13T10:01:07.5001	Position Z	1.4
122	2007-12-13T10:01:08.9012	Spindle Speed	0
123	2007-12-13T10:01:09.9012	Position X	10
124	2007-12-13T10:01:09.9012	Position Y	15
125	2007-12-13T10:01:09.9012	Position Z	0
126	2007-12-13T10:01:12.9012	Availability	UNAVAILABLE

1544

1545

**Figure 12: Sample Data in an Agent**

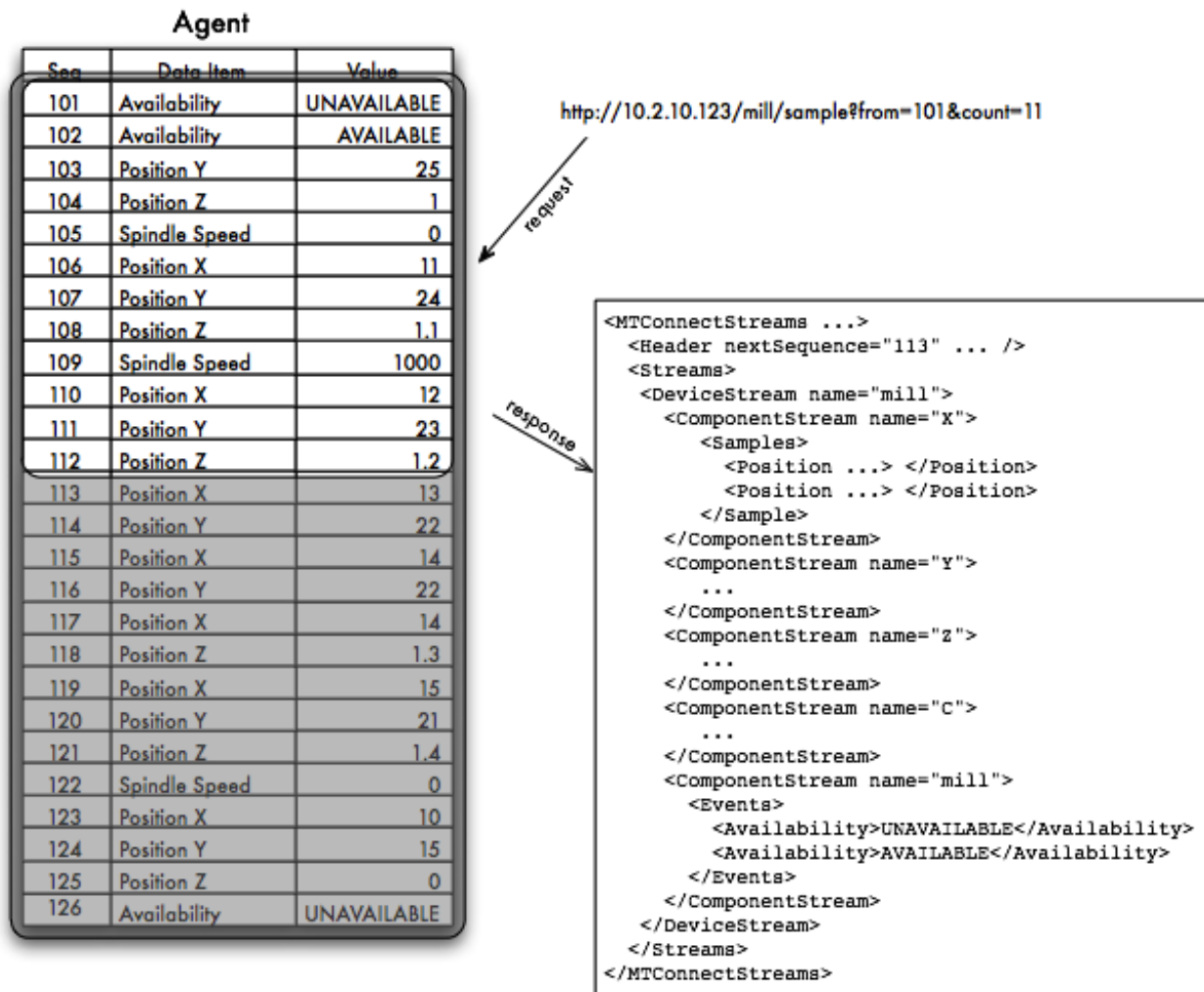
1546 *Figure 13* is a table of 25 data values and a duration of around 12 seconds. The data captures the  
 1547 `Availability` of the device and the position of its axes: the linear axes X, Y, and Z, and the  
 1548 rotary axis C. The only data items collected in this example are the Position (for the sake of this  
 1549 data, we have the actual position) and the rotary axis C Spindle Speed. We are also collecting the  
 1550 device's `Availability` state that can be either `AVAILABLE` or `UNAVAILABLE`. The device  
 1551 is `UNAVAILABLE` when the sample starts.

1552 For the remainder of the examples we will be excluding the time column to save space.

## 1553 5.9 Request without Filtering

1554 In the example below, the application made a request for a sample starting at sequence #101 and  
 1555 retrieves the next eleven items. The response will include all the Samples, Events, and Condition  
 1556 in the mill device from 101 to 112. The `nextSequence` number in the header will tell the

1557 application it should begin the next request at 113. (The response is abbreviated and for  
 1558 illustration purpose only.)

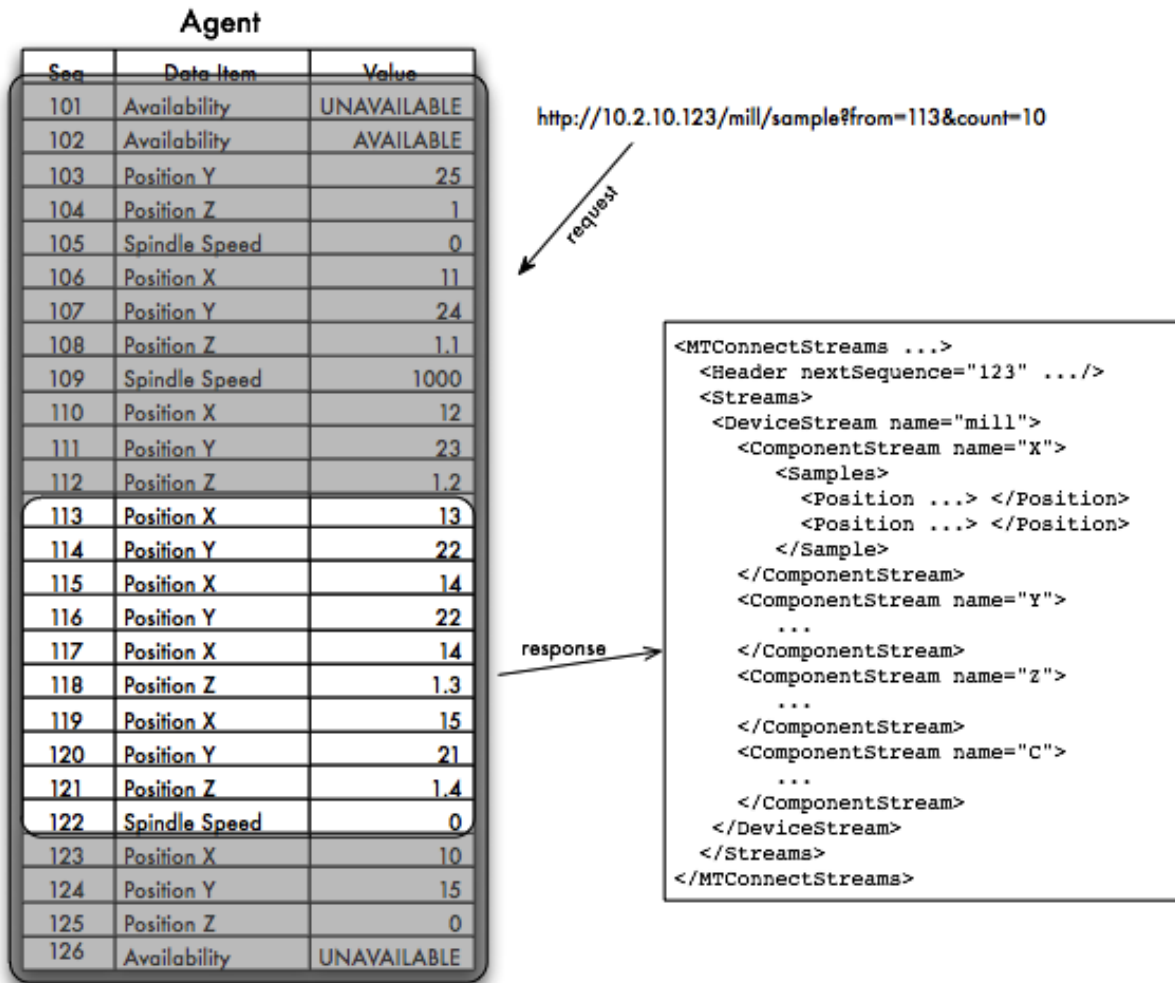


1559

1560

**Figure 13: Example #1 for Sample from Sequence #101**

1561 In the following illustration, the next request starts at 113 and gets the next ten samples. The  
 1562 response will include the X, Y, Z, and C samples and since there are no Availability events,  
 1563 this component will not be included:

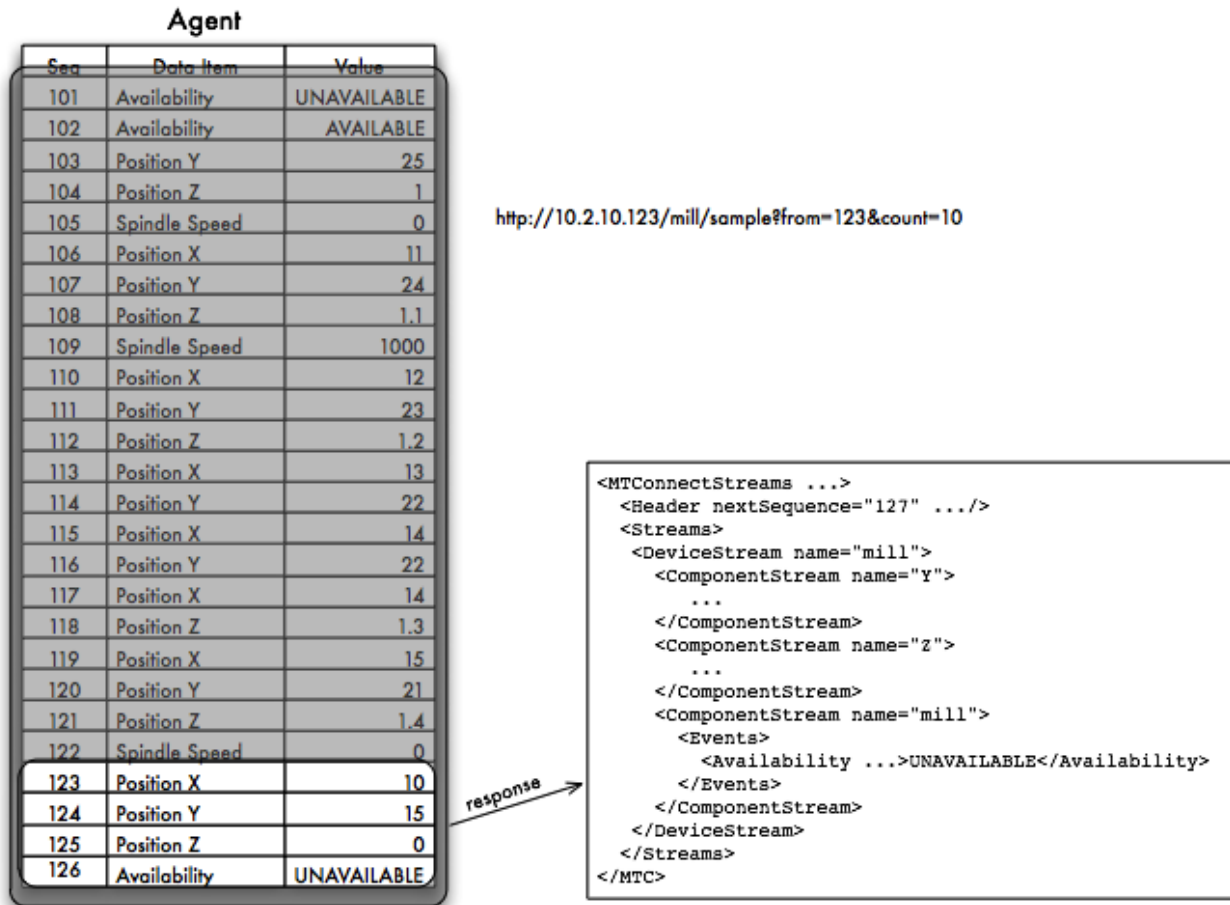


1564

1565

**Figure 14: Example #1 for Sample from Sequence #113**

1566 In the above illustration, only the four axis components have samples. One will only get samples  
 1567 or events if they occur in the window being requested. In the next illustration, the application  
 1568 will request the next ten items starting at sequence number 123.



1569

1570

**Figure 15: Example #1 for Sample from Sequence #124**

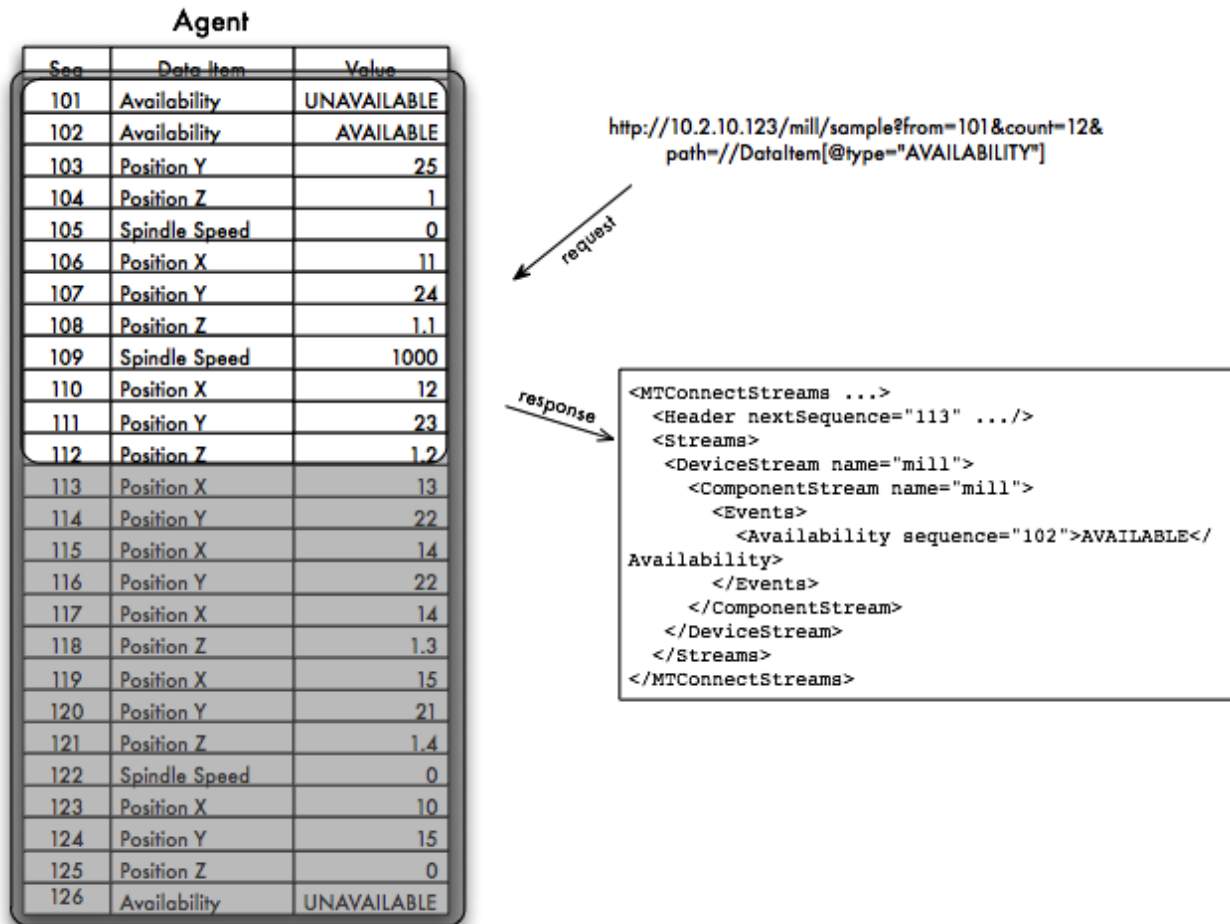
1571 In the above illustration, there are only three items available. The first two are axis samples and  
 1572 the third is an Availability event. The next sequence will indicate that the application must  
 1573 request Samples, Events, and Condition starting at 127 for the next group. If the application were  
 1574 to do this, it would receive an empty response with the nextSequence of 127 indicating that  
 1575 no data was available.

1576 The next sequence number **MUST** always be the largest sequence number of available items in  
 1577 the selection window plus one. If the request indicated a from of 10 and a count of 10, the  
 1578 MTConnect<sup>®</sup> **MUST** consider at most 10 items if available. If the value for from is larger than  
 1579 the last item's sequence number + 1, an OUT\_OF\_RANGE error **MUST** be returned from the  
 1580 Agent.

1581 The same rule will be applied to the current request as well. In the instance of the current  
 1582 request, the next sequence **MUST** be set to the one greater than the last item's sequence number  
 1583 in the table of data values. Since current always considers all Events, Condition, and Samples  
 1584 , it **MUST** always be one greater than the maximum sequence number assigned.

## 1585 5.10 Request with Filtering using Path Parameter

1586 The next set of examples will show the behavior when a path parameter is provided.



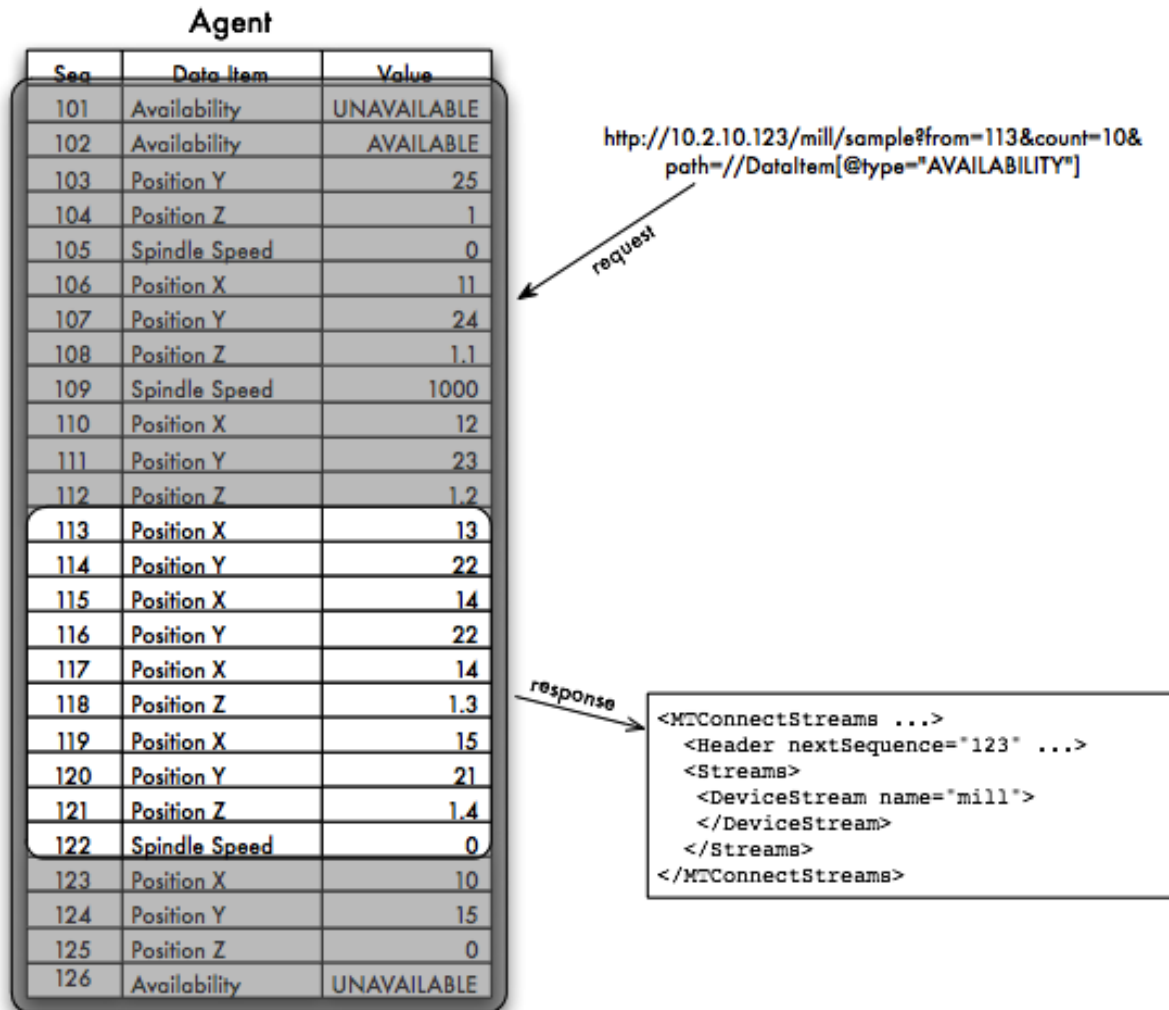
1587

1588

**Figure 16: Example #2 for Sample from Sequence #101 with Path**

1589 Figure 16 shows that when events are filtered for only the Availability DataItem, the  
 1590 Availability is UNAVAILABLE event will be delivered and nothing else. The  
 1591 Availability AVAILABLE event is sequence number 101, but since the other Samples,  
 1592 Events, and Condition are considered, the next sequence number is still 113. The MTConnect<sup>®</sup>  
 1593 Agent **MUST** set the next sequence number to one greater (+1) than the last event or sample in  
 1594 the window of items being considered. The Agent **MUST** consider all the Events, Condition, and  
 1595 Samples evaluated in the process of formulating the response to the application.

1596 In the next illustration the request is sent as before but now only including Availability  
 1597 data items:



1598

1599

**Figure 17: Example #2 for Sample from Sequence #112 with Path**

1600 An empty XML element representing the device **MUST** be returned to indicate that the request

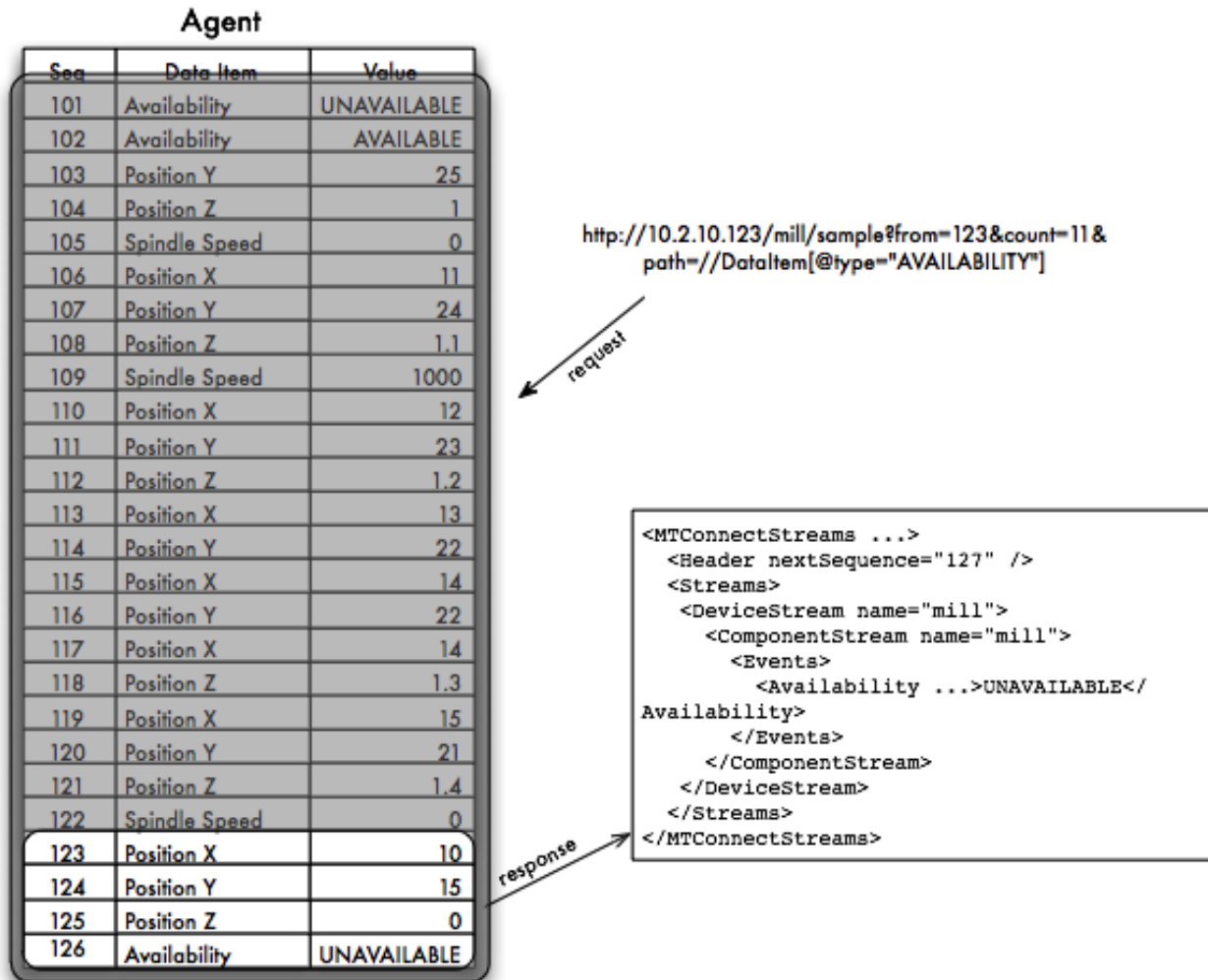
1601 was valid and no data was found since there were no Availability events in the given range.

1602 The nextSequence in the case **MUST** be set to 113 even though no results were returned. If this

1603 was not done, the application would continue to request sequence starting at 113 indefinitely.

1604

1605 To continue this example, the last request will start at 123 as before and will now request only  
 1606 Availability DataItem:



1607

1608 **Figure 18: Example #2 for Sample from Sequence #123 with Path**

1609 As can be seen, the one Availability event is returned and the next sequence is now 127.  
 1610 This will indicate that the application must request from 127 on for the next set of events. If no  
 1611 events are available, the nextSequence will again be set to 127 and an empty  
 1612 DeviceStream will be returned.

## 1613 5.11 Data Persistence and Recovery

1614 The implementer of the *Agent* can decide on the strategy regarding the storage of Events,  
 1615 Condition, and Samples. In the simplest form, the *Agent* can persist no data and hold all the  
 1616 results in volatile memory. If the *Agent* has a method of persisting the data fast enough and has  
 1617 sufficient storage, it **MAY** save as much or as little data as is practical in a recoverable storage  
 1618 system.



1619 If the *Agent* can recover data and sequence numbers from a storage system, it **MUST NOT**  
1620 change the `instanceId` when it restarts. This will indicate to the application that it need not  
1621 reset the next sequence number when it requests the next set of data from the *Agent*.

1622 If the *Agent* persists no data, then it **MUST** change the `instanceId` to a different value when  
1623 it restarts. This will ensure that every application receiving information from the *Agent* will know  
1624 to reset the next sequence number.

1625 The `instanceId` can be any unique number that will be guaranteed to change every time the  
1626 *Agent* restarts. If the *Agent* will take longer than one second to start, the UNIX time (seconds  
1627 since January 1, 1970) **MAY** be used for identification an instance of the MTConnect<sup>®</sup> *Agent* in  
1628 the `instanceId`.

## 1629 **5.12 Unavailability of Data**

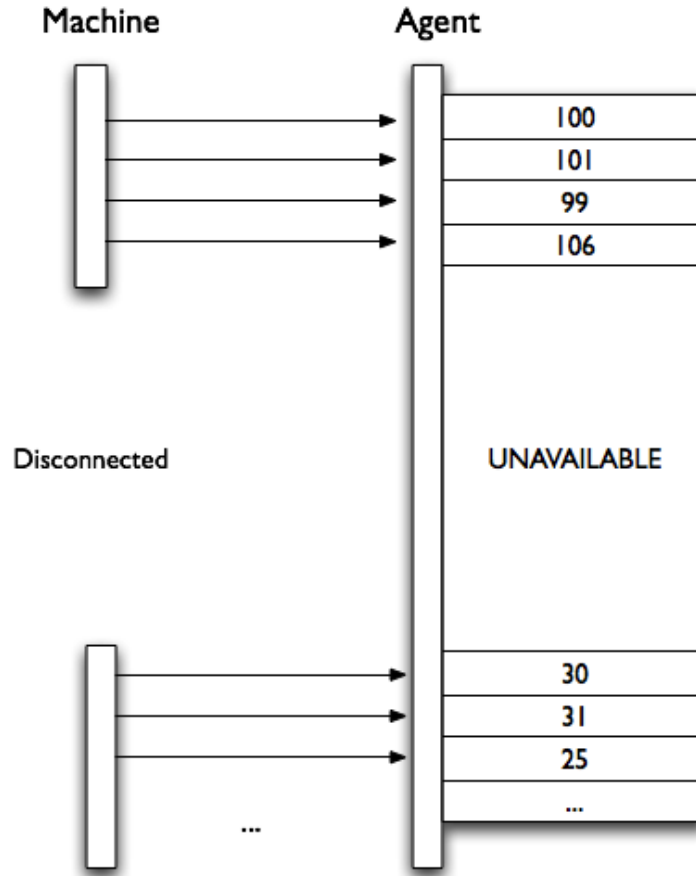
1630 Every time the *Agent* is initialized all values **MUST** be set to UNAVAILABLE unless they are  
1631 constant valued data items as described in *Section 5.12.2 Constant Valued Data Items* below.  
1632 Even during restarts this **MUST** occur so that the application can detect a discontinuity of data  
1633 and easily determine that gap between the last reported valid values.

1634 In the event no data is available, the value for the data item in the stream **MUST** be  
1635 UNAVAILABLE. This value indicates that the value is currently indeterminate and no  
1636 assumptions are possible. MTConnect<sup>®</sup> supports multiple data sources per device, and for that  
1637 reason, every data item **MUST** be considered independent and **MUST** maintain its own  
1638 connection status.

1639 In the following example, the data source for a temperature sensor becomes temporarily  
1640 disconnected from the *Agent*. At this point the value changes from the current temperature to  
1641 UNAVAILABLE since the temperature can no longer be determined.

1642 In figure 17, the temperatures range around 100 until it becomes disconnected and then in the  
1643 future it reconnects and the temperature is 30. Between these two points assumptions **SHOULD**  
1644 **NOT** be made as to the temperature since no information was available.





**Figure 19: Unavailable Data from Machine**

1645

1646

1647 If data for multiple data items are delivered from one source and that source becomes  
 1648 unavailable, all data items associated with that source **MUST** have the value UNAVAILABLE.  
 1649 This **MUST** be a synchronous operation where all related data items will get that value with the  
 1650 same time stamp. The value will remain UNAVAILABLE until the data source has reconnected.

### 1651 5.12.1 Examples

```

1652 1. <Linear name="X" id="x">
1653 2.   <DataItems>
1654 3.     <DataItem type="POSITION" category="SAMPLE" id="Xpos" ... />
1655 4.     <DataItem type="TEMPERATURE" category="SAMPLE" id="Ctemp" ... />
1656 5.   </DataItems>
1657 6. </Linear>
  
```

1658 When the *Agent* is started and has no initial information about the device, all data item value  
 1659 **MUST** have the value UNAVAILABLE. This will produce the following results to a current  
 1660 request:

```

1661 <ComponentStream component="Linear" componentId="x" name="X">
1662   <Samples>
1663     <Position timestamp="2010-03-01T11:59:09.001" dataItemId="Xpos" se-
1664     quence="99" >UNAVAILABLE</Position>
  
```

```

1665     <Temperature timestamp="2010-03-01T11:59:09.001" dataItemId="Xpos" se-
1666     quence="100" >UNAVAILABLE</Temperature>
1667   </Samples>
1668 </ComponentStream>
1669

```

1670 Once the adapters are connected, the values will no longer be UNAVAILABLE. The results from  
 1671 the current once again:

```

1672 <ComponentStream component="Linear" componentId="x" name="X">
1673   <Samples>
1674     <Position timestamp="2010-03-01T12:09:31.021" dataItemId="Xpos" se-
1675     quence="122" >13.0003</Position>
1676     <Temperature timestamp="2010-03-01T12:07:22.031" dataItemId="Xpos" se-
1677     quence="113" >102</Temperature>
1678   </Samples>
1679 </ComponentStream>
1680

```

1681 If the temperature sensor should lose power and become disconnected, as shown in figure 17, the  
 1682 following response will be given by current.

```

1683 <ComponentStream component="Linear" componentId="x" name="X">
1684   <Samples>
1685     <Position timestamp="2010-03-01T12:12:19.311" dataItemId="Xpos" se-
1686     quence="212" >1.0003</Position>
1687     <Temperature timestamp="2010-03-01T12:15:41.121" dataItemId="Xpos" se-
1688     quence="199" >UNAVAILABLE</Temperature>
1689   </Samples>
1690 </ComponentStream>
1691

```

1692 The X position has a valid value and only the Temperature is unknown. When a sample is  
 1693 requested, the value UNAVAILABLE will be treated the same as any other value for the data  
 1694 item.

```

1695 <ComponentStream component="Linear" componentId="x" name="X">
1696   <Samples>
1697     <Position timestamp="2010-03-01T11:59:09" dataItemId="Xpos" sequence="212"
1698     >1.0003</Position>
1699     <Position timestamp="2010-03-01T11:59:09" dataItemId="Xpos" sequence="212"
1700     >2.2103</Position>
1701     <Position timestamp="2010-03-01T11:59:09" dataItemId="Xpos" sequence="212"
1702     >4.3303</Position>
1703     <Temperature timestamp="2010-03-01T11:59:09" dataItemId="Xpos" se-
1704     quence="199" >101</Temperature>
1705     <Temperature timestamp="2010-03-01T11:59:09" dataItemId="Xpos" se-
1706     quence="199" >103</Temperature>
1707     <Temperature timestamp="2010-03-01T11:59:09" dataItemId="Xpos" se-
1708     quence="199" >UNAVAILABLE</Temperature>
1709   </Samples>
1710 </ComponentStream>
1711

```

## 1712 5.12.2 Constant valued data items

1713 If the data item is constrained to one value, the initial value for this data item **MUST** be that  
1714 value. For example:

```
1715 1. <Rotary name="C" id="C" nativeName="S">
1716 2.   <DataItems>
1717 3.     <DataItem type="ROTARY_MODE" category="EVENT" id="Cmode">
1718 4.       <Constraints><Value>SPINDLE</Value></Constraints>
1719 5.     </DataItem>
1720 6.     <DataItem type="SPINDLE_SPEED" category="SAMPLE" id="Cspeed"/>
1721 7.   </DataItems>
1722 8. </Rotary>
```

1723  
1724 In this example, the RotaryMode **MUST** be initialized to SPINDLE. If an application was to  
1725 request data from this device before the adapter was connect, the result **MUST** be the following:

```
1726 <ComponentStream component="Rotary" componentId="c" name="C">
1727   <Events>
1728     <RotaryMode timestamp="2010-03-01T11:58:09" dataItemId="Cmode" se-
1729     quence="1" >SPINDLE</Position>
1730   </Events>
1731   <Samples>
1732     <SpindleSpeed timestamp="2010-03-01T11:59:09" dataItemId="Cspeed" se-
1733     quence="113" >UNAVAILABLE</Temperature>
1734   </Samples>
1735 </ComponentStream>
1736
```

1737 The SpindleSpeed shows UNAVAILABLE as described above, but the RotaryMode is  
1738 assigned the constant value SPINDLE since it can only have one value. The value for  
1739 RotaryMode **MAY NOT** be delivered by the *Adapter* and if it is, it **MUST** be SPINDLE.

1740 For more information on Constraints, see *MTConnect Part 2, Section 4.1.2 – Data Item*  
1741 *Element*.

1742

## Appendices

### 1743 A. Bibliography

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