



MTConnect Standard

Part 3 – Streams, Events, and Samples

Version 1.0.1

Prepared for: MTConnect Institute
Prepared by: William Sobel
Prepared on: October 2, 2009

MTConnect Specification

AMT - The Association For Manufacturing Technology (“AMT”) owns the copyright in this MTConnect Specification. AMT grants to you a non-exclusive, non-transferable, revocable, non-sublicensable, fully-paid-up copyright license to reproduce, copy and redistribute the MTConnect Specification, provided that you may only copy or redistribute the MTConnect Specification in the form in which you received it, without modifications, and with all copyright notices and other notices and disclaimers contained in the MTConnect Specification.

If you intend to adopt or implement this MTConnect Specification in a product, whether hardware, software or firmware, which complies with the MTConnect Specification, you must agree to the MTConnect Specification Implementer License Agreement (“Implementer License”) or to the MTConnect Intellectual Property Policy and Agreement (“IP Policy”). The Implementer License and IP Policy each sets forth the license terms and other terms of use for MTConnect Implementers to adopt or implement the MTConnect Specifications, including certain license rights covering necessary patent claims for that purpose. These materials can be found at www.MTConnect.org, or by contacting Paul Warndorf at pwarndorf@amtonline.org.

MTConnect Institute and AMT have no responsibility to identify patents, patent claims or patent applications which may relate to or be required to implement a Specification, or to determine the legal validity or scope of any such patent claims brought to their attention. Each MTConnect Implementer is responsible for securing its own licenses or rights to any patent or other intellectual property rights that may be necessary for such use, and neither AMT nor MTConnect Institute have any obligation to secure any such rights.

The MTConnect Specification is provided “as is” and MTConnect Institute and AMT, and each of their respective members, officers, affiliates, sponsors and agents, make no representation or warranty of any kind relating to these materials or to any implementation of the MTConnect Specification in any product, including, without limitation, any express or implied warranty of noninfringement, merchantability, or fitness for particular purpose, or of the accuracy, reliability, or completeness of information contained herein. In no event shall MTConnect Institute or AMT be liable to any user or implementer of the MTConnect Specification for the cost of procuring substitute goods or services, lost profits, loss of use, loss of data or any incidental, consequential, indirect, special or punitive damages or other direct damages, whether under contract, tort, warranty or otherwise, arising in any way out of access, use or inability to use the MTConnect Specification or other MTConnect Materials, whether or not they had advance notice of the possibility of such damage.

Table of Contents

1	OVERVIEW	1
1.1	MTCONNECT DOCUMENT STRUCTURE.....	1
2	PURPOSE OF THIS DOCUMENT	2
2.1	TERMINOLOGY	2
2.2	XML TERMINOLOGY.....	4
2.3	MARKUP CONVENTIONS	6
2.4	DOCUMENT CONVENTIONS.....	6
2.5	UNITS	7
2.6	REFERENCED STANDARDS AND SPECIFICATIONS.....	7
3	STREAMS, SAMPLES AND EVENTS	8
3.1	STREAMS	8
3.2	STRUCTURE	9
3.3	DEVICESTREAM	11
3.3.1	<i>DeviceStream Attributes</i>	11
3.3.2	<i>DeviceStream Elements</i>	11
3.4	COMPONENTSTREAM.....	11
3.4.1	<i>ComponentStream Attributes</i>	11
3.4.2	<i>ComponentStream Elements</i>	12
3.5	SAMPLES	12
3.6	SAMPLE	12
3.6.1	<i>Sample attributes:</i>	12
3.6.2	<i>Sample Elements</i>	13
3.6.3	<i>Extensibility</i>	14
3.7	EVENTS	14
3.8	EVENT.....	15
3.8.1	<i>Event Elements</i>	15
3.9	ALARMS	16
4	ANNOTATED XML EXAMPLES	18
4.1	EXAMPLE OF A CURRENT REQUEST	18
5	BIBLIOGRAPHY	20

Table of Figures

FIGURE 1: STREAMS SCHEMA DIAGRAM	9
FIGURE 2: STREAMS EXAMPLE STRUCTURE.....	10

1 Overview

MTConnect is a standard based on an open protocol for data integration. MTConnect is not intended to replace the functionality of existing products, but it strives to enhance the data acquisition capabilities of devices and applications and move toward a plug-and-play environment to reduce the cost of integration.

MTConnect is built upon the most prevalent standards in the manufacturing and software industry, maximizing the number of tools available for its implementation and providing the highest level of interoperability with other standards and tools in these industries.

To facilitate this level of interoperability, a number of objectives are being met. Foremost is the ability to transfer data via a standard protocol which includes:

- A device identity (i.e. model number, serial number, calibration data, etc.).
- The identity of all the independent components of the device.
- Possibly a device's design characteristics (i.e. axis length, maximum speeds, device thresholds, etc.).
- Most importantly, data captured in real or near-real-time (i.e. current speed, position data, temperature data, program block, etc.) by a device that can be utilized by other devices or applications (e.g. utilized by maintenance diagnostic systems, management production information systems, CAM products, etc.).

The types of data that may need to be addressed in MTConnect could include:

- Physical and actual device design data
- Measurement or calibration data
- Near-real-time data from the device

To accommodate the vast amount of different types of devices and information that may come into play, MTConnect will provide a common high-level vocabulary and structure.

The first version of MTConnect will focus on a limited set of the characteristics mentioned above that were selected based on the fact that they can have an immediate affect on the efficiency of operations.

1.1 MTConnect Document Structure

The MTConnect specification is subdivided using the following scheme:

- Part 1: Overview and Protocol
- Part 2: Components and Data Items
- Part 3: Streams, Events and Samples

Extensions to the standard will be made according to this scheme and new sections will be added as new areas are addressed. Documents will be named as follows:

MTC_Part_<Number>_<Description>.doc. All documents will be developed in Microsoft® Word format and released in Adobe® PDF format. For example, this document is MTC_Part_1_Overview.doc.

41 2 Purpose of This Document

42 This document is intended to:

- 43 • define the MTConnect standard;
- 44 • specify the requirements for compliance with the MTConnect standard;
- 45 • provide engineers with sufficient information to implement *Agents* for their devices;
- 46 • provide developers with the necessary guidelines to use the standard to develop applications.

47 The third part of the standard covers the data returned from a current or sample request (for more
 48 information on the requests, see Part 1). Part 2 covered what data is available; this section covers
 49 the values of the data representing the state of the machine. The values and the descriptive
 50 information are separated do reduce the amount of redundant information and reduce the
 51 network bandwidth used by the protocol.

52 The information is broken down into two general types. The first is events that represent
 53 information that has finite state changes like controller modes and samples that are continuously
 54 changing like axis positions. This section also covers the vocabulary and format of every piece of
 55 data that can be retrieved from the machine.

56 2.1 Terminology

57	Adapter	An optional software component that connects the Agent to the Device.
58 59	Agent	A process that implements the MTConnect specification, acting as an interface to the device.
60 61	Alarm	An alarm indicates an event that requires attention and indicates a deviation from normal operation.
62 63	Application	A process or set of processes that access the MTConnect <i>Agent</i> to perform some task.
64 65 66	Attribute	A part of an element that provides additional information about that element. For example, the name element of the Device is given as <code><Device name="mill-1">...</Device></code>
67 68	CDATA	The text in a simple content element. For example, <i>This is some text</i> , in <code><mt:Alarm ...>This is some text</mt:Alarm></code> .
69 70	Component	A part of a device that can have sub-components and data items. A component is a basic building block of a device.
71 72 73	Controlled Vocabulary	The value of an element or attribute is limited to a restricted set of possibilities. Examples of controlled vocabularies are country codes: US, JP, CA, FR, DE, etc...
74 75 76	Current	A snapshot request to the <i>Agent</i> to retrieve the current values of all the data items specified in the path parameter. If no path parameter is given, then the values for all components are provided.

77	Data Item	A data item provides the descriptive information regarding something that can
78		be collected by the <i>Agent</i> .
79	Device	A piece of equipment capable of performing an operation. A device is
80		composed of a set of components that provide data to the application. The
81		device is a separate entity with at least one Controller managing its operation.
82	Discovery	Discovery is a service that allows the application to locate <i>Agents</i> for devices
83		in the manufacturing environment. The discovery service is also referred to as
84		the <i>Name Service</i> .
85	Element	An XML element is the central building block of any XML Document. For
86		example, in MTConnect the Device element is specified as <code><Device</code>
87		<code>>...</Device></code>
88	Event	An event represents a change in state that occurs at a point in time. Note: An
89		event does not occur at predefined frequencies.
90	HTTP	Hyper-Text Transport Protocol. The protocol used by all web browsers and
91		web applications.
92	Instance	When used in software engineering, the word <i>instance</i> is used to define a
93		single physical example of that type. In object-oriented models, there is the
94		class that describes the thing and the instance that is an example of that thing.
95	LDAP	Lightweight Directory Access Protocol, better known as Active Directory in
96		Microsoft Windows. This protocol provides resource location and contact
97		information in a hierarchal structure.
98	MIME	Multipurpose Internet Mail Extensions. A format used for encoding multipart
99		mail and http content with separate sections separated by a fixed boundary.
100	Probe	A request to determine the configuration and reporting capabilities of the
101		device.
102	REST	REpresentational State Transfer. A software architecture where the client and
103		server move through a series of state transitions based solely on the request
104		from the client and the response from the server.
105	Results	A general term for the <code>Samples</code> and <code>Events</code> contained in a
106		<code>ComponentStream</code> as a response from a <code>sample</code> or <code>current</code> request.
107	Sample	A sample is a data point from within a continuous series of data points. An
108		example of a <code>Sample</code> is the position of an axis.
109	Socket	When used concerning interprocess communication, it refers to a connection
110		between two end-points (usually processes). Socket communication most
111		often uses TCP/IP as the underlying protocol.
112	Stream	A collection of events and samples organized by devices and components.

113	Service	An application that provides necessary functionality.
114	Tag	Used to reference an instance of an XML element.
115	TCP/IP	TCP/IP is the most prevalent stream-based protocol for interprocess communication. It is based on the IP stack (Internet Protocol) and provides the flow-control and reliable transmission layer on top of the IP routing infrastructure.
116		
117		
118		
119	URI	Universal Resource Identifier. This is the official name for a web address as seen in the address bar of a browser.
120		
121	UUID	Universally unique identifier.
122	XPath	XPath is a language for addressing parts of an XML Document. See the XPath specification for more information. http://www.w3.org/TR/xpath
123		
124	XML	Extensible Markup Language. http://www.w3.org/XML/
125	XML Schema	The definition of the XML structure and vocabularies used in the XML Document.
126		
127	XML Document	An instance of an XML Schema which has a single root element and conforms to the XML specification and schema.
128		

129 2.2 XML Terminology

130 In the document there will be references to XML constructs, including elements, attributes,
 131 CDATA, and more. XML consists of a hierarchy of elements. The elements can contain sub-
 132 elements, CDATA, or both. For this specification, however, an element never contains mixed
 133 content or both sub-elements and CDATA. Attributes are additional information associated with
 134 an *element*. The textual representation of an element is referred to as a *tag*. In the example:

```
135 <Foo name="bob">Ack!</Foo>
```

136 an *element* consists of a named opening and closing tag. In the above example, `<Foo . . . >` is
 137 referred to as the opening tag and `</Foo>` is referred to as the closing tag. The text `Ack!` in
 138 between the opening and closing tags is called the CDATA. CDATA can be restricted to certain
 139 formats, patterns, or words. In the document when it refers to an element having CDATA, it
 140 indicates that the element has no sub-elements and only contains data.

141 When one looks at an XML Document there are two parts. The first part is typically referred to
 142 as an XML declaration and is only a single line. It looks something like this:

```
143 <?xml version="1.0" encoding="UTF-8"?>
```

144 This line indicates the XML version being used and the character encoding. Though it is possible
 145 to leave this line off, it is usually considered good form to include this line in the beginning of
 146 the document. The second part contains the XML document and consists of the rest of the
 147 document.

148 Every XML Document contains one and only one root element. In the case of MTConnect, it is
 149 the MTConnectDevices, MTConnectStreams, or MTConnectError element. When
 150 these root elements are used in the examples, you will sometimes notice that it is prefixed with
 151 mt: as in mt:MTConnectDevices. The mt: is what is referred to as a namespace. In XML,
 152 to allow for multiple XML Schemas to be used within the same XML Document, a namespace
 153 will indicate which XML Schema is in effect for this section of the document. This convention
 154 allows for multiple XML Schemas to be used within the same XML Document, even if they have
 155 the same element names. The namespace is optional and is only required if multiple schemas are
 156 required.

157 An *attribute* is additional data that can be included in each XML element. For example, in the
 158 following MTConnect DataItem, there are several attributes describing the data item:

```
159 1. <DataItem name="Xpos" type="POSITION" subType="ACTUAL" category="SAMPLE" />
```

160 The name, type, subType, and category are attributes of the element. Each attribute can
 161 only occur once within an element declaration, and it can either be required or optional.

162 An element can have any number of sub-elements. The XML Schema specifies which sub-
 163 elements and how many times a given sub-element can occur. Here's an example:

```
164 1. <TopLevel>
165 2.   <FirstLevel>
166 3.     <SecondLevel>
167 4.       <ThirdLevel name="first"></ThirdLevel>
168 5.       <ThirdLevel name="second"></ThirdLevel>
169 6.     </SecondLevel>
170 7.   </FirstLevel>
171 8. </TopLevel>
```

172 In the above example, the FirstLevel has a sub-element SecondLevel which in turn has
 173 two sub-elements, ThirdLevel, with different names. Each level is an element and its children
 174 are its sub-elements and so forth.

175 An XML Document can be validated. The most basic check is to make sure it is well-formed,
 176 meaning that each element has a closing tag, as in <foo> . . . </foo> and the document does
 177 not contain any illegal characters (<>) when not specifying a tag. If the closing </foo> was left
 178 off or an extra > was in the document, the document would not be well-formed and may be
 179 rejected by the receiver. The document can also be validated against a schema to ensure it is
 180 valid. This second level of analysis checks to make sure that required elements and attributes are
 181 present and only occur the correct number of times. A valid document must be well-formed.

182 All MTConnect documents must be valid and conform to the XML Schema provided along with
 183 this specification. The schema will be versioned along with this specification. The greatest
 184 possible care will be taken to make sure that the schema is backward compatible.

185 For more information, visit the w3c website for the XML Standards documentation:

186 <http://www.w3.org/XML/>

187 2.3 Markup Conventions

188 MTConnect follows industry conventions on tag format and notations when developing the XML
189 schema. The general guidelines are as follows:

- 190 1. All tag names will be specified in Pascal case (first letter of each word is capitalized). For
191 example: <ComponentEvents />
- 192 2. Attribute names will also be camel case, similar to Pascal case, but the first letter will be
193 lower case. For example: <MyElement attributeName="bob"/>
- 194 3. All values that are part of a limited or controlled vocabulary will be in upper case. For
195 example: ON, OFF, ACTUAL, etc...
- 196 4. Dates and times will follow the W3C ISO 8601 format with arbitrary fractions of a
197 second allowed. Refer to the following specification for details:
198 <http://www.w3.org/TR/NOTE-datetime> The format will be YYYY-MM-
199 DDThh:mm:ss.ffff, for example 2007-09-13T13:01.213415. The accuracy and number of
200 fractional digits of the timestamp is determined by the capabilities of the device collect-
201 ing the data. All times will be given in UTC (GMT).
- 202 5. Element names will be spelled-out and abbreviations will be avoided. The one exception
203 is the word `identifier` that will be abbreviated `Id`. For example:
204 `SequenceNumber` will be used instead of `SeqNum`.

205 2.4 Document Conventions

206 The following documentation conventions will be used in the text:

- 207 • The word **MUST** is used to indicate provisions that are mandatory. Any deviation from those
208 provisions will not be permitted.
- 209 • The word **SHOULD** is used to indicate a provision that is recommended but the exclusion of
210 which will not invalidate the implementation.
- 211 • The word **MAY** will be used to indicate provisions that are optional and are up to the imple-
212 mentor to decide if they are relevant to their device.

213 In the tables where elements are described, the Occurrence column indicates if the attribute or
214 sub-elements are required by the specification.

215 For attributes:

- 216 1. If the Occurrence is 1, the attribute **MUST** be provided.
- 217 2. If the Occurrence is 0..1, the attribute **MAY** be provided, and at most one occurrence of
218 the attribute may be given.

219
220 For elements:

- 221 1. If the Occurrence is 1, the element **MUST** be provided.
- 222 2. If the Occurrence is 0..1, the element **MAY** be provided, and at most one occurrence of
223 the element may be given.
- 224 3. If the Occurrence is 1..INF, one or more elements **MUST** be provided.

225 4. If the Occurrence is a number, e.g. 2, exactly that number of elements **MUST** be pro-
 226 vided.

227

228 Font styles used:

229 Code samples as well as any XML elements or attributes will always be given in fixed
 230 width fonts. References to other *Documents* or *Sections* will be presented in italics.

231 2.5 Units

232 MTConnect will adopt the units common to most standards specifications for exchanging data
 233 items. This will allow for greatest interoperability with other specifications. It is assumed that all
 234 MTConnect *Agents* will be responsible for converting the units from the native device units.

Property	Symbol	Unit
Angle	°	decimal degrees
Angular Acceleration	°/s ²	degree per second square
Angular Velocity	°/s	degrees per second
Elapsed time	s	seconds with fractions
Force	N	newtons
Length	mm	millimeters
Linear Acceleration	mm/s ²	millimeter per second square
Linear Velocity	mm/s	millimeters per second
Mass	kg	kilograms
Spindle Speed	rev/min	revolutions per minute
Temperature	°C	degree Celsius

235 Additional units will be added as needed. The decision to require the *Agent* to convert to the
 236 standard simplifies the applications and will provide greater interoperability and accuracy.

237 2.6 Referenced Standards and Specifications

238 A large number of specifications are being used to normalize and harmonize the schema and the
 239 vocabulary (names of tags and attributes) specified in MTConnect (*See Bibliography for*
 240 *complete references*).

241 **3 Streams, Samples and Events**

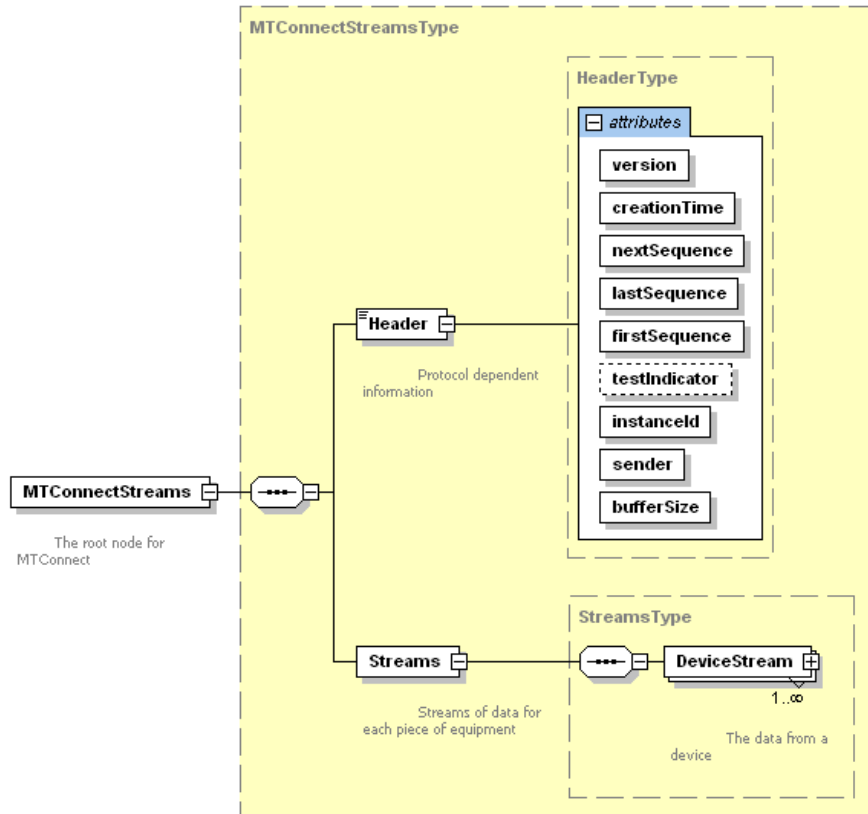
242 The *MTConnect Agent* collects data from various sources and delivers it to applications in
243 response to `sample` or `current` requests. (See *Protocol* section.) All the data are collected
244 into streams and organized by device and then by component. A component stream has two parts:
245 `Samples` and `Events`. `Samples` are point-in-time readings from a component reporting what
246 the value is at that instant. For an example, refer to the `Device` in Figure 2 below.

247 An `Event` changes state to a limited set of values. It is assumed that an event remains at a state
248 until the next event occurs; it cannot have any intermediate values between the reported values.
249 Alarms are classified as events. The following are examples of `Events`: `Block`, `Code`,
250 `Execution`, `PowerStatus`, etc.

251 If two adjacent samples for the same component and data item have the same value, the second
252 sample **MUST NOT** be sent to the client application and does not need to be retained by the
253 *MTConnect Agent*. This will greatly reduce the amount of information sent to the application.
254 The application can always assume that if the sample is not present, it has the previous value. If
255 the application needs the present value, it can always ask for the `current` values (see
256 *Protocol*).

257 **3.1 Streams**

258 A `Streams` element is the high level container for all device streams. It serves no other purpose
259 than to have `DeviceStream` sub-elements. There **MUST** be no attributes or elements within
260 this element.



261
262
263

Figure 1: Streams Schema Diagram

Elements	Description	Occurrence
DeviceStream	The stream of samples and events for each device.	1..INF

264
265

266 3.2 Structure

267 The following diagram illustrates the structure of the streams with some samples and events at
268 the lowest level:

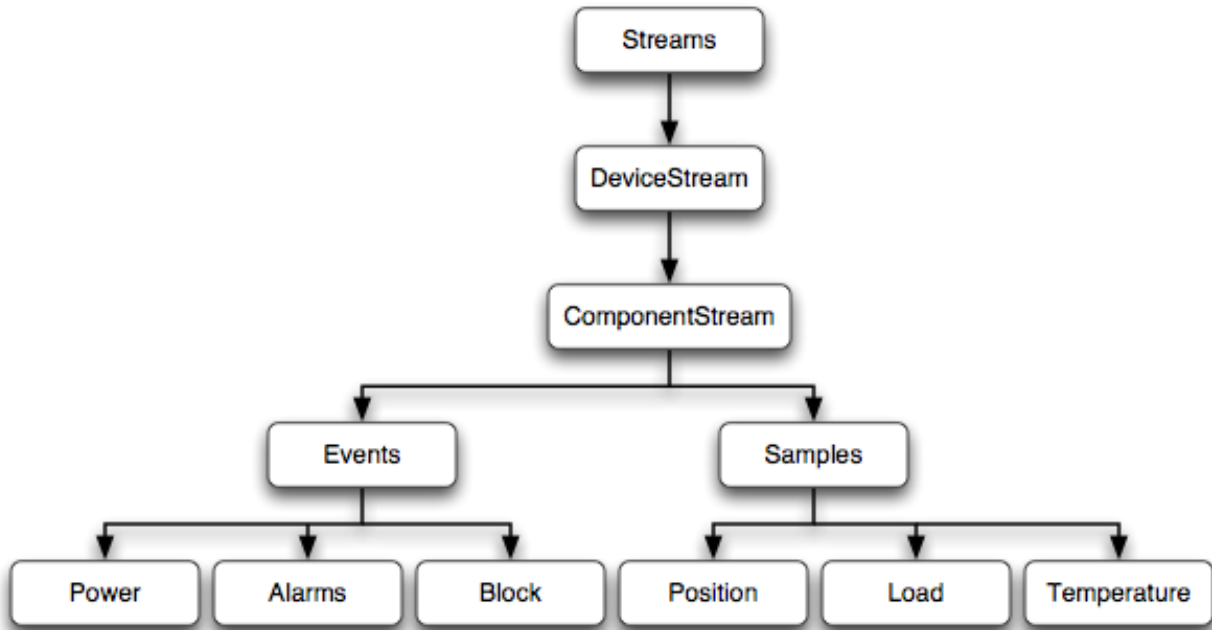


Figure 2: Streams Example Structure

269
270

271

272 A Stream **MUST** have at least one DeviceStream and the DeviceStream **MAY** have one
273 or more ComponentStream elements, depending on whether there are events or samples
274 available for the component. If there are no ComponentStream elements, then no data will be
275 delivered for this request.

276 Below is an example XML Document response for an Agent with two devices, mill-1 and mill-2.
277 The data is reported in two separate device streams. The sequence numbers is unique across the
278 two devices. The applications **MUST NOT** assume that the event and sample sequence numbers
279 are strictly in sequence. The sequence numbers **MAY** skip due to filtering.

```

280 1. <?xml version="1.0" encoding="UTF-8"?>
281 2. <MTConnectStreams ...>
282 3.   <Header .../>
283 4.   <Streams>
284 5.     <DeviceStream uuid="1" name="mill-1">
285 6.       <ComponentStream componentId="2" name="power" component="Power">
286 7.         <Events>
287 8.           <PowerStatus name="power" dataItemId="9" sequence="30055111"
288           timestamp="2008-07-07T14:27:59.591">ON</PowerStatus>
289 9.         </Events>
290 10.       </ComponentStream>
291 11.     </DeviceStream>
292 12.     <DeviceStream uuid="2" name="mill-2">
293 13.       <ComponentStream componentId="3" name="power" component="Power">
294 14.         <Events>
  
```

```

295 15.         <PowerStatus name="power" dataItemId="10" sequence="52162"
296           timestamp="2008-06-11T10:17:33.291">ON</PowerStatus>
297 16.         </Events>
298 17.         </ComponentStream>
299 18.         </DeviceStream>
300 19.     </Streams>
301 20. </MTConnectStreams>

```

302 3.3 DeviceStream

303 A DeviceStream is created to hold the device-specific information so it does not need to be
304 repeated for every event and sample. This is done to reduce the size of each event and sample so
305 they only carry the information that is being reported. A DeviceStream **MAY** contain one or
306 more ComponentStream elements. If the request is valid and there are no events or samples
307 that match the criteria, an empty DeviceStream element **MUST** be created to indicate that the
308 device exists, but there was no data available.

309 3.3.1 DeviceStream Attributes

Attributes	Description	Occurrence
name	The device's name	1
uuid	The device's unique identifier	1

310

311 3.3.2 DeviceStream Elements

Element	Description	Occurrence
ComponentStream	One component's stream for each component with data	0..INF

312

313 3.4 ComponentStream

314 A ComponentStream is similar to the DeviceStream. It contains the information specific
315 to the component within the Device. The uuid only needs to be specified if the Component
316 has a uuid assigned.

317 3.4.1 ComponentStream Attributes

Attribute	Description	Occurrence
name	This components name within the device	1
component	The element name for the component	1
uuid	The component's unique identifier	0..1
componentId	Corresponds to the id attribute of the component in the probe request (Refer Probe in Part 1).	1

318

319 The Elements of the ComponentStream classify the data into Events and Samples. (*The*
 320 *classification is discussed below*). The ComponentStream **MUST NOT** be empty. It **MUST**
 321 include an Events and/or a Samples element.

322 3.4.2 ComponentStream Elements

Element	Description	Occurrence
Events	The events for this component stream	0..1
Samples	The samples for this component	0..1

323

324 3.5 Samples

325 The Samples element must contain at least one Sample element. This element acts only as a
 326 container for all the Samples to provide a logical structure to the XML Document.

Element	Description	Occurrence
Sample	The subtype of Sample for this component stream	1..INF

327

328 3.6 Sample

329 A Sample is an abstract type. This means there will never be an actual element called Sample,
 330 but any element that is a sub-type of Sample can be used in place of Sample. Examples of
 331 sample sub-types are Position, Load, and Angle. Sample types **MUST** have numeric
 332 values.

333 3.6.1 Sample attributes:

Attribute	Description	Occurrence
name	The name MUST match the name of the DataItem this sample is associated with.	1
sequence	The sequence number of this sample. This value MUST have a maximum value of $2^{63}-1$ and MUST be stored in a signed 64 bit integer.	1
timestamp	The timestamp of the sample.	1
dataItemID	The id attribute of the corresponding data retrieved in the probe request.	1

334

335

336 A sample **MUST** contain CDATA as the content between the element tags. A position is
 337 formatted like this:


```

338 1.<Position sequence="112" timestamp="2007-08-09T12:32:45.1232" name="Xabs"
339     dataItemId="10">123.3333</Position>
340

```

341 In this example the 123.3333 is the CDATA for the position. All the CDATA in a sample is
 342 typed, meaning that it can be validated using an XML parser. This restricts the format of the
 343 values to a specific pattern.

344 3.6.2 Sample Elements

345 **Acceleration** The acceleration of the component **MUST** always be reported in
 346 MILLIMETER/SECOND². An acceleration **MUST** have a numeric value.

347 **Amperage** The current in an electrical circuit. The amperage **MUST** have a numeric
 348 value and **MUST** be reported in AMPS.

349 **Angle** An angle **MUST** always be reported in DEGREE and **MUST** always have a
 350 numeric CDATA value as a floating point number.

351 **AngularAcceleration** The angular acceleration of the component as measured in
 352 DEGREE/SECOND². An acceleration **MUST** have a numeric value.

353 **AngularVelocity** A angular velocity represents the rate of change in angle. An angular
 354 velocity **MUST** always be reported in DEGREE/SECOND and **MUST** always
 355 have a numeric CDATA value as a floating point number.

356 **AxisFeedrate** Axis Feedrate is defined as the rate of motion of the feed axis of the tool
 357 relative to the workpiece¹. An axis feedrate **MUST** always be reported in
 358 MILLIMETER/SECOND or PERCENT for override and **MUST** always have a
 359 numeric CDATA value as a floating point number.

360 **PathFeedrate** Path Feedrate is defined as the rate of motion of the feed path of the tool
 361 relative to the workpiece². A path feedrate **MUST** always be reported in
 362 MILLIMETER/SECOND or PERCENT for override and **MUST** always have a
 363 numeric CDATA value as a floating point number.

364 **Frequency** The rate at which a component is vibrating. The frequency **MUST** have a
 365 numeric value and **MUST** be reported in HERTZ.

366 **Displacement** The displacement as measured from zero to peak. The displacement **MUST**
 367 have a value reported in MILLIMETER.

368 **GlobalPosition** The global position is the three space coordinate of the tool. A global
 369 position **MUST** always be reported in MILLIMETER and **MUST** always have
 370 a numeric CDATA value as three floating point numbers (x, y, and z). Position
 371 **MUST** always be given in absolute coordinates.

¹ From ASME B5.54 - 2005

² From ASME B5.54 - 2005

- 372 **Load** The load on a component. The load **MUST** always be reported in NEWTON
 373 and **MUST** always have a numeric CDATA value as a floating point number.
- 374 **Position** A position represents the location along a linear axis. A position **MUST**
 375 always be reported in MILLIMETER and **MUST** always have a numeric
 376 CDATA value as a floating point number. Position **MUST** always be given in
 377 absolute coordinates.
- 378 **Pressure** The pressure on a component. The pressure **MUST** be a numeric value and
 379 **MUST** be provided in PASCALS.
- 380 **SpindleSpeed** The rate of rotation of a machine spindle ³. A spindle speed **MUST** always be
 381 reported in REVOLUTION/MINUTE and **MUST** always have a numeric
 382 CDATA value as a floating point number.
- 383 **Temperature** Temperature **MUST** always be reported in degrees CELSIUS and **MUST**
 384 always have a numeric CDATA value as a floating point number.
- 385 **Torque** The torque of the component **MUST** be reported in SI units of
 386 NEWTON_METER and **MUST** have a numeric CDATA value as a floating
 387 point number.
- 388 **Velocity** A velocity represents the rate of change in position along one or more axis.
 389 When given as a Sample for the Axes component, it represents the
 390 magnitude of the velocity vector for all given axis, similar to a path feedrate.
 391 A velocity **MUST** always be reported in MILLIMETER/SECOND and **MUST**
 392 always have a numeric CDATA value as a floating point number.
- 393 **Volts** The potential difference as measured across an electrical circuit. The voltage
 394 **MUST** have a numeric value and **MUST** be reported in VOLTS.
- 395 **Watts** The electrical power (volt-amps) of an electrical circuit. The watts **MUST**
 396 have a numeric value and **MUST** be reported in WATTS.

397 **3.6.3 Extensibility**

398 Additional sample types can be added by extending the Sample type in the XML schema. The
 399 samples presented here are the official sample types that will be supported by all MTConnect
 400 Agents. Any non-sanctioned extensions will not be guaranteed to have consistency across
 401 implementations.

402 **3.7 Events**

403 The Events element must contain at least one Event element. This element acts only as a
 404 container for all the Events to provide a logical structure to the XML Document.

Element	Description	Occurrence
---------	-------------	------------

³ From ASME B5.54 - 2005

Element	Description	Occurrence
Event	The subtype of Event for this component stream	1..INF

405

406 **3.8 Event**

407 A `Event` is an abstract type. This means there will never be an actual element called `Event`,
 408 but any element that is a sub-type of `Event` can be used in place of `Sample`. Examples of event
 409 sub-types are `Block`, `Execution`, and `Line`. Events types have values in any format.

Attribute	Description	Occurrence
name	The name MUST match the name of the <code>DataItem</code> this event is associated with	1
sequence	The sequence number of this event. This value MUST have a maximum value of $2^{63}-1$ and MUST be stored in a signed 64 bit integer.	1
timestamp	The time-stamp of the event	1
dataItemID	The id attribute of the corresponding data retrieved in the probe request.	1

410

411 An event is similar to a sample, but its values are going to be changing with unpredictable
 412 frequency. Events do not have intermediate values. When a power status transitions from `OFF` to
 413 `ON`, there is no intermediate state that can be inferred. Therefore, most events have a controlled
 414 vocabulary as their content.

415 An event does not add any additional attributes or elements to the `Sample`. It is a placeholder in
 416 the schema type hierarchy for elements that are events. This relationship will be enforced by the
 417 schema.

418 **3.8.1 Event Elements**

419 **Block** A `Block` of code is a command being executed by the Controller. The
 420 `Block` **MUST** include the entire command with all the parameters.

421 **Code** The code is just the G, M, or NC code being executed. The `Code` **MUST** only
 422 contain the simplest form of the executing command.

423 **ControllerMode** The Mode of the Controller. The `CDATA` **MUST** be one of the following:

Value	Description
AUTOMATIC	The controller is configured to automatically execute a program.
MANUAL	The controller is under manual control by the operator.

Value	Description
MANUAL_DATA_INPUT	The operator can enter operations for the controller to perform. There is no current program being executed.

424

425 **Direction** A `Direction` indicates the direction of rotation. The CDATA **MUST** be
 426 either `CLOCKWISE` or `COUNTER_CLOCKWISE`.

427 **Execution** The `Execution` state of the Controller. The CDATA **MUST** be one of the
 428 following:

Value	Description
READY	The controller is ready to execute. It is currently idle.
ACTIVE	The controller is actively executing an instruction.
INTERRUPTED	The operator or the program has paused execution and is waiting to be continued.
STOPPED	The controller has been stopped.

429

430 **Line** The current line number of the program being executed. The CDATA **MUST**
 431 be a numeric value.

432 **PartCount** The number of parts produced. This will not be counted by the agent and
 433 **MUST** only be supplied if the controller provides the count.

434 **PowerStatus** Power status **MUST** be either `ON` or `OFF`.

435 **Program** The name of the program executing in the controller. This is usually the name
 436 of the file containing the program instructions.

437 3.9 Alarms

438 The Alarm event adds some additional fields to the standard `Event` schema. The following
 439 additional attributes are used for the alarm:

Attribute	Description	Occurrence
code	The type of alarm. This is a high level classification for all codes.	1
severity	The severity of the alarm, currently we have <code>CRITICAL</code> , <code>ERROR</code> , <code>WARNING</code> , or <code>INFORMATION</code> .	1
nativeCode	The native code for the piece of equipment. This is the way the alarm is represented on the component.	1

Attribute	Description	Occurrence
state	Either INSTANT, ACTIVE or CLEARED. When the Alarm occurs, it will be created with an ACTIVE state. Once it has been addressed, the state will be changed to CLEARED. An INSTANT alarm does not need to be cleared.	1
lang	An optional attribute that specifies language of the alarm text. Refer to IETF RFC 4646 (http://www.ietf.org/rfc/rfc4646.txt) or successor for a full definition of the values for this attribute.	0..1

440

441

442 The code can have one of the following values:

Enumeration	Description
CRASH	A spindle crashed
JAM	A component jammed.
FAILURE	The component failed.
FAULT	A fault occurred on the component.
STALLED	The component has stalled and cannot move.
OVERLOAD	The component is overloaded.
ESTOP	The ESTOP button was pressed.
MATERIAL	There is a problem with the material.
MESSAGE	A system message.
OTHER	The alarm is not in any of the above categories.

443

444

445 The CDATA of the Alarm is the human-readable text from the component that raised the alarm.

446 The device should specify this text so it can be logged.

447

448 4 Annotated XML Examples

449 4.1 Example of a current Request

450 The sample was generated with the following request:

451 `http://10.1.23.5/LinuxCNC/sample?path=//Controller|//Power`

452 The response is as follows:

```
453 1. <MTConnectStreams xmlns:m="urn:mtconnect.com:MTConnectStreams:0.9"
454   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
455   xmlns="urn:mtconnect.com:MTConnectStreams:0.9"
456   xsi:schemaLocation="urn:mtconnect.com:MTConnectStreams:0.9
457   /schemas/MTConnectStreams.xsd">
458 2.   <Header sender="10.1.23.5" bufferSize="100000" creationTime="2008-07-
459       07T23:22:40-07:00" nextSequence="31088439" version="0.9"
460       instanceId="1214527986"/>
461 3.   <Streams>
462
```

463 Events are grouped by equipment:

```
464 4.   <DeviceStream uuid="linux-01" name="LinuxCNC">
465
```

466 All the events are then grouped by components. The path includes the most relevant parts of the
 467 xpath with only the Components containers removed here for brevity. The only element that
 468 **MUST** be removed is Components. The name selector makes the component unique within
 469 the path:

```
470 5.   <ComponentStream componentId="2" name="power" component="Power">
471 6.       <Events>
472 7.           <PowerStatus name="power" dataItemId="9" sequence="30055111"
473           timestamp="2008-07-10T10:27:59.591">ON</PowerStatus>
474 8.       </Events>
475 9.   </ComponentStream>
476
```

477 The control execution is now idle:

```
478 10.   <ComponentStream componentId="8" name="Controller"
479       component="Controller">
480 11.       <Events>
481 12.           <Execution name="execution" dataItemId="22"
482           sequence="38148653" timestamp="2008-07-10T12:34:00.615">IDLE</Execution>
483
```

484 The execution unit is now running:

```
485 13.      <Execution name="execution" dataItemId="22"  
486      sequence="38148753" timestamp="2008-07-10T12:35:00.615">EXECUTING  
487      </Execution>  
488 14.      </Events>  
489 15.      </ComponentStream>  
490 16.      </DeviceStream>  
491 17.      </Streams>  
492 18.      </MTConnectStreams>
```

493 5 Bibliography

- 494 1. Engineering Industries Association. *EIA Standard - EIA-274-D*, Interchangeable Variable,
495 Block Data Format for Positioning, Contouring, and Contouring/Positioning Numerically
496 Controlled Machines. Washington, D.C. 1979.
- 497 2. ISO TC 184/SC4/WG3 N1089. *ISO/DIS 10303-238*: Industrial automation systems and
498 integration Product data representation and exchange Part 238: Application Protocols:
499 Application interpreted model for computerized numerical controllers. Geneva,
500 Switzerland, 2004.
- 501 3. International Organization for Standardization. *ISO 14649*: Industrial automation systems
502 and integration – Physical device control – Data model for computerized numerical
503 controllers – Part 10: General process data. Geneva, Switzerland, 2004.
- 504 4. International Organization for Standardization. *ISO 14649*: Industrial automation systems
505 and integration – Physical device control – Data model for computerized numerical
506 controllers – Part 11: Process data for milling. Geneva, Switzerland, 2000.
- 507 5. International Organization for Standardization. *ISO 6983/1* – Numerical Control of
508 machines – Program format and definition of address words – Part 1: Data format for
509 positioning, line and contouring control systems. Geneva, Switzerland, 1982.
- 510 6. Electronic Industries Association. *ANSI/EIA-494-B-1992*, 32 Bit Binary CL (BCL) and 7
511 Bit ASCII CL (ACL) Exchange Input Format for Numerically Controlled Machines.
512 Washington, D.C. 1992.
- 513 7. National Aerospace Standard. *Uniform Cutting Tests - NAS Series: Metal Cutting*
514 *Equipment Specifications*. Washington, D.C. 1969.
- 515 8. International Organization for Standardization. *ISO 10303-11*: 1994, Industrial
516 automation systems and integration Product data representation and exchange Part 11:
517 Description methods: The EXPRESS language reference manual. Geneva, Switzerland,
518 1994.
- 519 9. International Organization for Standardization. *ISO 10303-21*: 1996, Industrial
520 automation systems and integration -- Product data representation and exchange -- Part
521 21: Implementation methods: Clear text encoding of the exchange structure. Geneva,
522 Switzerland, 1996.
- 523 10. H.L. Horton, F.D. Jones, and E. Oberg. *Machinery's handbook*. Industrial Press, Inc. New
524 York, 1984.
- 525 11. International Organization for Standardization. *ISO 841-2001: Industrial automation*
526 *systems and integration - Numerical control of machines - Coordinate systems and*
527 *motion nomenclature*. Geneva, Switzerland, 2001.
- 528 12. *ASME B5.59-2 Version 9c: Data Specification for Properties of Machine Tools for*
529 *Milling and Turning*. 2005.

- 530 13. *ASME/ANSI B5.54: Methods for Performance Evaluation of Computer Numerically*
531 *Controlled Lathes and Turning Centers. 2005.*
- 532 14. OPC Foundation. *OPC Unified Architecture Specification, Part 1: Concepts Version 1.00.*
533 *July 28, 2006.*